

Puerto Rico Part C APR

FFY 2013-2014



Estado Libre Asociado de Puerto Rico
Departamento de Salud

Early Intervention System

Avanzando Juntos

Introduction to the State Performance Plan (SPP)/Annual Performance Report (APR)

General Supervision System:

The systems that are in place to ensure that IDEA Part C requirements are met, e.g., monitoring systems, dispute resolution systems.

The Puerto Rico Department of Health uses a single line of responsibility, the Part C Coordinator and the Evaluator to ensure that IDEA Part C requirements are met. The Commonwealth of Puerto Rico performed monitoring activities such as: Self-Assessment for those EIS programs in compliance of 100% compliance indicators, and showing a pattern of achievements of results indicators targets. The Supervision and Monitoring Unit (SMU) performs on-site visits to all EIS programs twice a year for monitoring, to perform chart review and data review. Providers' performance is monitored using indicator 1 data and providers' monthly progress report and billings to ensure timely provision of services.

Technical Assistance System:

The mechanisms that the State has in place to ensure the timely delivery of high quality, evidenced based technical assistance and support to early intervention service (EIS) programs.

The state program has assigned a Developmental Pediatrician that offers consultation and technical assistance. He has expertise in child development and knowledge of Early Intervention law, norms and procedures, ECTA guidelines and Child Outcomes measurement. Our TA officer is available for consultations from program coordinators and providers. He has designed a uniform evaluation process for eligibility determination and has trained the providers in this process. He organizes presentations and workshops in child assessment, evaluation, eligibility criteria, child outcomes measurement, and functional outcomes.

Professional Development System:

The mechanisms the State has in place to ensure that service providers are effectively providing services that improve results for infants and toddlers with disabilities and their families.

The PR EIP has a Developmental Pediatrician on board in charge of training providers in the delivery of services in Natural Environments (NE) to improve results for infants and toddlers with disabilities and their families. Trainings are provided on-site in each EI regional Programs. Supervisors meet monthly with the Part C coordinator to discuss providers' performance in NE, appropriate COSF ratings, and use of decision discussion tree to improve outcomes for children and families. The Developmental Pediatrician gathers this input and coordinates meetings at the regional levels to provide T.A. and re-training to ensure that providers have the skills to effectively provide services that improve results for infants and toddlers with disabilities and their families.

Stakeholder Involvement:

The mechanism for soliciting broad stakeholder input on targets in the SPP, including revisions to targets.

The Puerto Rico Interagency Coordinating Council (ICC) has been participating in meetings since August 2014, to share information about the State System Improvement Plan (SSIP) phases, requirements and its critical importance to improve results for infants and toddlers with disabilities and their families by improving early intervention services. Information was also provided to the stakeholder group on how they may be engaged in Phase 1, for submission in April 1, 2015. Broad data analysis was initiated with key data from SPP/APR indicators and 618 data at State level and across local programs. The ICC members also analyzed the capacity of the State current infrastructure to support improvement and build capacity in staff and providers to scale up results for children and families in PR. Specific questions guided a discussion with a small group to identify the SiMR and reasons for their selection. During meeting in January 21, 2015 a topic agenda was to identify achievable improvement strategies for SSIP and how these will effect measurable improvement in results for children and families. Agenda for next meeting in February, 2015 will focus on Phase II: Plan, the implementation and evaluation of evidenced based practices to improve SiMR, and infrastructure changes needed to implement improvement strategies, including theory of action and evaluation to measure impact on achievement of measurable improvement of the SiMR. Representatives to be added to the stakeholders group include early intervention programs and providers, Head Start or Child Care and parents. The Stakeholder group, ICC members and a representative of the Office of Ombudsman for Persons with Disabilities, assisted the PRDH in examining data, setting up coming five years targets and improvement strategies activities.

Reporting to the Public:

How and where the State reported to the public on the FFY 2012 performance of each LEA located in the State on the targets in the SPP/APR as soon as practicable, but no later than 120 days following the State's submission of its FFY 2012 APR, as required by 34 CFR §300.602(b) (1)(i)(A); and a description of where, on its Web site, a complete copy of the State's SPP, including any revision if the State has revised the SPP that it submitted with its FFY 2012 APR in 2014, is available.

Puerto Rico will make its FFY 2013 APR available through public means, including posting on the website of the Puerto Rico Department of Health and distribution through public agencies, including the Puerto Rico Department of Education, Early Head Start/Head Start Programs, Department of the Family, Office of the Ombudsman for Persons with Disabilities, Developmental Disabilities Council, University Center of Excellence in Developmental Disabilities, and the Parents Training Center. Puerto Rico Annual Performance Report will be available in English and a detailed document with the results for Indicators one (1) through eight (8) by Early Intervention Programs (EIP) compared to overall Puerto Rico performance will be developed in Spanish and posted on the Department of Health website (*Public Reporting for FFY 2013*): <http://www.salud.gov.pr/PARTCPROGRAM/Pages/default.aspx>

Indicator 1: Timely provision of services

Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner. (20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target		100%	100%	100%	100%	100%	100%	100%
Data	80.50%	93.00%	93.70%	96.00%	98.20%	97.10%	97.00%	99.30%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

FFY	2013	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%	100%

Indicator 1: Timely provision of services

FFY 2013 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner. (20 U.S.C. 1416(a)(3)(A) and 1442)

FFY 2013 SPP/APR Data

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2013-14 Child Count/Educational Environment Data Groups	9/24/2014	Total number of infants and toddlers with IFSPs	3,639	121

Explanation of Alternate Data

Reported data is a result of the assessments completed by State data manager and regional supervisor of programs between July 1, 2013 and June 30, 2014. Data were randomly selected from child records from all (7) seven EIS programs. Alternate data has been used to report this indicator since this state conducted monitoring practice in the 7 programs. This data were certified directly from the records to calculate timely provision of services to infants and toddlers.

FFY 2013 SPP/APR Data

Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Total number of infants and toddlers with IFSPs	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
116	121	99.30%	100%	96.67%	Did Not Meet Target	Slippage

* FFY 2012 Data are editable on the Historical Data and Targets page.

Explanation of Slippage

Puerto Rico did not meet 100% target compliance for Indicator 1. The percent of infants and toddlers who received early intervention services on their initial and subsequent IFSP in a timely manner was 96.7%. Results show a lower percent of infants and toddlers who received early intervention services in a timely manner compared with last year report (FFY 2011 = 99.3%).

A total of five (5) of 116 revised records during monitoring were identified with a delay in service provision. From those, one (1) child did not receive early intervention services in a timely manner due a documented exceptional family circumstance and four (4) due to reasons considered other than exceptional family circumstances.

The slippage occurred in 3 of the 7 EIS programs. Reasons for delay in service provision were: (1) lack of communication between service coordinator related to sub-contracted entities by this state to provide services: (1) because the provider was not available for the service provision; one (1) due to error in calculation of the day of service initiation resulting in one day of delay in service provision; and (1) due to change of provider (original providers was fired by subcontracted services corporation).

Number of documented delays attributable to exceptional family circumstances (this number will be subtracted from the total number of infants and toddlers with IFSPs when calculating the FFY 2013 data)	1
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What is the source of the data provided for this indicator?

- State monitoring
- State database

Describe the method used to select EIS programs for monitoring.

Records were randomly selected from a list of children with new early intervention services in their initial IFSP, IFSP six (6) months review or annual revisions from July 1, 2013 through May 31, 2014. Using child was disaggregated by region and sent to the appropriate EIS Supervisor. The Supervisor of EIS program compared the data from list (child name, IFSP date, service dates, calculation of days from the IFSP date and the initiation of service) with service billing data and or provider service contact quarterly notes.

Provide additional information about this indicator (optional)

Indicator 1: Timely provision of services

Required Actions from FFY 2012

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner. (20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table, **not including correction of findings**

Indicator 1: Timely provision of services

Correction of Previous Findings of Noncompliance

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner. (20 U.S.C. 1416(a)(3)(A) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2012

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
2	2	0	0

FFY 2012 Findings of Noncompliance Verified as Corrected

Describe how the State verified that each LEA with noncompliance is correctly implementing the regulatory requirements

The Commonwealth notified EIS program identified with noncompliance in (FFY 2012) and addressed an official communication on the importance of (1) correctly implementing 34 CFR §§303.340(c), 303.342(e), and 303.344(f) (1); and (2) initiated services, although late, for any child whose services were not initiated in a timely manner, consistent with OSEP Memorandum 09-02, dated October 17, 2008 (OSEP Memo 09-02). The Commonwealth met these requirements for findings identified in FFY 2012. The Commonwealth demonstrated that it has timely corrected both (2) findings of noncompliance identified in FFY 2012.

Describe how the State verified that each LEA corrected each individual case of noncompliance

A self-assessment was performed in those EIS Programs in noncompliance related with two (2) records that showed untimely early intervention services due to reasons other than exceptional family circumstances. The first EIS Program reported that reason for delay in service provision was related to a sickness of providers; service although late, was delivered 21 days later.

In the second EIS program, one (1) infant did not receive the service within the 30-day timeline from parents' consent due to error in calculation of the day of service initiation. The service was provided with one (1) day delay.

Updated data from self-assessments were analyzed to determine if the two (2) EIS programs in noncompliance for FFY 2012 were correctly implementing the specific regulatory requirements for Indicator 1.

Correction of Findings of Noncompliance Identified Prior to FFY 2012

Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2012 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None		

Indicator 2: Services in Natural Environments

Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings. (20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target ≥		99.20%	99.30%	99.40%	99.50%	99.50%	99.70%	99.70%
Data	99.70%	99.70%	99.90%	99.00%	99.80%	99.90%	100%	98.40%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

FFY	2013	2014	2015	2016	2017	2018
Target ≥	99.81%	99.81%	99.82%	99.82%	99.83%	99.83%

Targets: Description of Stakeholder Input

Stakeholders inquired about the reason that the State had for not aspiring to a 100% result for Indicator 2; staff members explained that OSEP does not expect the target is 100% considering the importance of respecting family decision about the provision of services in the Natural Environment (NE). If the family has announced any circumstance that makes impossible to provide services in NE, the State must consider and establish with family a reasonable period to reestablish services in NE. It is the State responsibility to emphasize to the family that the Natural Environment is the best scenario for the child to learn and progress. Stakeholders understood the explanation of the State.

Indicator 2: Services in Natural Environments

FFY 2013 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings. (20 U.S.C. 1416(a)(3)(A) and 1442)

Source	Date	Description	Data	Overwrite Data
SY 2013-14 Child Count/Educational Environment Data Groups	9/24/2014	Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	3,632	
SY 2013-14 Child Count/Educational Environment Data Groups	9/24/2014	Total number of infants and toddlers with IFSPs	3,639	

Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Total number of infants and toddlers with IFSPs	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data
3,632	3,639	98.40%	99.81%	99.81%

Status
Met Target

Slippage
No Slippage

* FFY 2012 Data and FFY 2013 Target are editable on the Historical Data and Targets page.

Provide additional information about this indicator (optional)

Indicator 2: Services in Natural Environments

Required Actions from FFY 2012

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings. (20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table

Indicator 3: Early Childhood Outcomes

Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

	Baseline Year	FFY	2005	2006	2007	2008	2009	2010	2011	2012
A1	2008	Target ≥					54.40%	54.50%		
		Data				54.40%	45.20%	33.30%	39.40%	39.20%
A2	2008	Target ≥					68.30%	68.40%		
		Data				68.30%	53.50%	43.80%	53.90%	46.50%
B1	2008	Target ≥					47.90%	68.40%		
		Data				47.90%	39.00%	43.80%	32.50%	31.30%
B2	2008	Target ≥					33.50%	33.60%		
		Data				33.50%	21.30%	16.60%	18.70%	12.60%
C1	2008	Target ≥					40.20%	40.30%		
		Data				40.20%	35.90%	32.40%	28.30%	29.00%
C2	2008	Target ≥					50.90%	60.00%		
		Data				50.90%	41.30%	41.70%	43.90%	37.70%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target A1 ≥	43.79%	43.80%	43.81%	43.82%	43.83%	43.84%
Target A2 ≥	56.99%	57.00%	57.01%	57.02%	57.03%	57.04%
Target B1 ≥	46.63%	46.64%	46.65%	46.66%	46.67%	46.68%
Target B2 ≥	34.68%	34.69%	34.70%	34.71%	34.72%	34.73%
Target C1 ≥	38.02%	38.03%	38.04%	38.05%	38.06%	38.07%
Target C2 ≥	51.35%	51.36%	51.37%	51.38%	51.39%	51.40%

Targets: Description of Stakeholder Input

The State explained to stakeholders about data analysis based on past year's results and the limitation that the State has to improve data infrastructure. Stakeholders agree that the lack of Internet and data entry staff, make it difficult to improve data quality. The ICC was conservative in recommending the establishment of the targets given that operational changes and programmatic issues may continue to impact data because it includes newly hired coordinators, providers and supervisors. Stakeholders suggested small increases year to year. The State presented to Stakeholders strategies being implemented to ensure quality and mentioned that targets may be reviewed annually. The State considered stakeholders' recommendation for improvements strategies.

Indicator 3: Early Childhood Outcomes

FFY 2013 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

FFY 2013 SPP/APR Data

Number of infants and toddlers with IFSPs assessed	816
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Does the State's Part C eligibility criteria include infants and toddlers who are at risk of having substantial developmental delays (or "at-risk infants and toddlers") under IDEA section 632(5)(B)(i)? No

Outcome A: Positive social-emotional skills (including social relationships)

	Number of Children
a. Infants and toddlers who did not improve functioning	51
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	243
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	57
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	172
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	293

	Numerator	Denominator	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
A1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program $(c+d)/(a+b+c+d)$.	229	523	39.20%	43.79%	43.79%	Met Target	No Slippage
A2. The percent of infants and toddlers who were functioning within age expectations in Outcome A by the time they turned 3 years of age or exited the program $(d+e)/(a+b+c+d+e)$.	465	816	46.50%	56.99%	56.99%	Met Target	No Slippage

* FFY 2012 Data and FFY 2013 Target are editable on the Historical Data and Targets page.

Outcome B: Acquisition and use of knowledge and skills (including early language/ communication)

	Number of Children
a. Infants and toddlers who did not improve functioning	50
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	362
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	121
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	239
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	44

	Numerator	Denominator	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
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FFY 2013 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

	Numerator	Denominator	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
B1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program $(c+d)/(a+b+c+d)$.	360	772	31.30%	46.63%	46.63%	Met Target	No Slippage
B2. The percent of infants and toddlers who were functioning within age expectations in Outcome B by the time they turned 3 years of age or exited the program $(d+e)/(a+b+c+d+e)$.	283	816	12.60%	34.68%	34.68%	Met Target	No Slippage

* FFY 2012 Data and FFY 2013 Target are editable on the Historical Data and Targets page.

Outcome C: Use of appropriate behaviors to meet their needs

	Number of Children
a. Infants and toddlers who did not improve functioning	21
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	323
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	53
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	158
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	261

	Numerator	Denominator	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
C1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program $(c+d)/(a+b+c+d)$.	211	555	29.00%	38.02%	38.02%	Met Target	No Slippage
C2. The percent of infants and toddlers who were functioning within age expectations in Outcome C by the time they turned 3 years of age or exited the program $(d+e)/(a+b+c+d+e)$.	419	816	37.70%	51.35%	51.35%	Met Target	No Slippage

Was sampling used? No

Did you use the Early Childhood Outcomes Center (ECO) Child Outcomes Summary Form (COSF)? Yes

Provide additional information about this indicator (optional)

Indicator 3: Early Childhood Outcomes

Required Actions from FFY 2012

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2012 response table

The State must report progress data and actual target data for FFY 2013 in the FFY 2013 APR.

Responses to actions required in FFY 2012 response table

The State reported FFY 2013 progress data including target data for FFY 2013 -2018.

Indicator 4: Family Involvement

Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

	Baseline Year	FFY	2005	2006	2007	2008	2009	2010	2011	2012
A	2006	Target ≥				85.90%	86.90%	87.90%	88.00%	90.10%
		Data		60.00%	87.00%	97.70%	94.00%	96.00%	95.60%	92.92%
B	2006	Target ≥				83.20%	84.20%	85.20%	85.30%	91.10%
		Data		57.00%	86.00%	96.20%	93.40%	95.00%	95.00%	92.41%
C	2006	Target ≥				89.20%	90.20%	91.20%	91.30%	93.20%
		Data		79.00%	91.00%	98.90%	95.80%	97.00%	98.00%	95.95%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target A ≥	90.46%	90.47%	90.48%	90.49%	90.50%	94.51%
Target B ≥	89.06%	89.07%	89.08%	89.09%	89.10%	89.11%
Target C ≥	94.39%	94.40%	94.41%	94.42%	94.43%	94.44%

Targets: Description of Stakeholder Input

Stakeholders and ICC recommended:

- To revise family survey items and translation to Spanish, to ensure that family understands the purpose of the each item, in order to improve family outcomes results.
- Determine the best time for survey administration (Child exit from Program or transition conference).

Establish that families who respond the survey should have at least 6 months receiving services by the Program.

Indicator 4: Family Involvement

FFY 2013 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

FFY 2013 SPP/APR Data

Number of respondent families participating in Part C	1,069
A1. Number of respondent families participating in Part C who report that early intervention services have helped the family know their rights	967
A2. Number of responses to the question of whether early intervention services have helped the family know their rights	1,069
B1. Number of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	952
B2. Number of responses to the question of whether early intervention services have helped the family effectively communicate their children's needs	1,069
C1. Number of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn	1,009
C2. Number of responses to the question of whether early intervention services have helped the family help their children develop and learn	1,069

* FFY 2012 Data and FFY 2013 Target are editable on the Historical Data and Targets page.

	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
A. Percent of families participating in Part C who report that early intervention services have helped the family know	92.92%	90.46%	90.46%	Met Target	No Slippage
B. Percent of families participating in Part C who report that early intervention services have helped the family effectively	92.41%	89.06%	89.06%	Met Target	No Slippage
C. Percent of families participating in Part C who report that early intervention services have helped the family help their	95.95%	94.39%	94.39%	Met Target	No Slippage

Describe how the State has ensured that any response data are valid and reliable, including how the data represent the demographics of the State.

Using a 22 item scale of NCSEAM Survey this State has collected the data through face-to-face interviews or through a self-administered questionnaire for families who opted to complete the survey anonymously.

Every family with a child receiving Part C services for at least six (6) months at the IFSP revision meeting had an opportunity to partake in the survey. The family survey response group represents the population of children that were active in the Early Intervention Program (EIP) from July 1, 2013 to June 30, 2014 in every local program, by age group, eligibility criteria, and by geographic location. Surveys were returned from 7 EIPs throughout Puerto Rico. In total, 1,069 surveys were returned.

Puerto Rico has selected to apply the standards recommended by NCSEAM as a way of obtaining the percent to be reported for Indicators 4a, 4b, and 4c. To establish a recommended standard, NCSEAM convened a group of nationally representative stakeholders, including parents of children with disabilities, state directors of special education, state early intervention coordinators, district and program personnel, advocates, attorneys, and community representatives.

Participants were invited to examine a set of items from the IFS, laid out in their calibration order. The items towards the bottom of the scale, having lower calibrations, are items that families tend to agree with most.

The items towards the top of the scale, having higher calibrations, are items that families tend to agree with least. Because of the robust structure of the scale, a respondent who agrees with a given statement will have a very high likelihood of agreeing, or agreeing even more strongly, with all the items below it on the scale.

Was sampling used? No

Was a collection tool used? Yes

Is it a new or revised collection tool? No



Yes, the data accurately represent the demographics of the State



No, the data does not accurately represent the demographics of the State



Provide additional information about this indicator (optional)

Indicator 4: Family Involvement

Required Actions from FFY 2012

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner. (20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2012 response table

In the FFY 2013 APR, the State must report whether its FFY 2013 data are from a group representative of the population, and, if not, the actions the State is taking to address this issue.

Responses to actions required in FFY 2012 response table, **not including correction of findings**

The family survey response group represents the population of children that were active in the Early Intervention Program (EIP) from July 1, 2013 to June 30, 2014 from 7 EIPs throughout Puerto Rico. In total 1,069 of families surveys was reported as part of this indicator. Puerto Rico was able to duplicate number of families who response the survey, if compared with FFY 2012 (N=593).

Indicator 5: Child Find (Birth to One)

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data. (20 U.S.C. 1416(a)(3)(B)

and 1442)

Historical Data

Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target ≥		0.60%	0.70%	0.50%	0.55%	0.60%	0.61%	0.62%
Data	0.56%	0.61%	0.63%	0.55%	0.57%	0.59%	0.52%	0.40%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target ≥	0.48%	0.48%	0.48%	0.48%	0.48%	0.49%

Targets: Description of Stakeholder Input

Stakeholders and ICC recommended for indicator 5 and 6:

- To revise strategies for public awareness including: WIC, hospital nurseries, NICU, Pediatricians offices and included EIP nurses as resources for hospitals and pediatric offices.
- In December 2014 a law was approved in Puerto Rico that enforces the promotion of the EIP by hospital and medical facilities in Puerto Rico.
- The PRDOH is developing a brochure with a description of EIP services, location and process from referral to IFSP and transition to preschool services.

Indicator 5: Child Find (Birth to One)

FFY 2013 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data. (20 U.S.C. 1416(a)(3)(B)

and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2013-14 Child Count/Educational Environment Data Groups	9/24/2014	Number of infants and toddlers birth to 1 with IFSPs	189	
US Census	8/19/2014	Population of infants and toddlers birth to 1		38,884

Explanation of Alternate Data

US Census Population of infants and toddlers birth to 1 was not available in the prepopulated data table. Alternate data was written using the PR estimated 0-1 population from US Census data.

FFY 2013 SPP/APR Data

Number of infants and toddlers birth to 1 with IFSPs	Population of infants and toddlers birth to 1	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
189	38,884	0.40%	0.48%	0.49%	Met Target	No Slippage

* FFY 2012 Data and FFY 2013 Target are editable on the Historical Data and Targets page.

Provide additional information about this indicator (optional)

Indicator 5: Child Find (Birth to One)

Required Actions from FFY 2012

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data. (20 U.S.C. 1416(a)(3)(B)

and 1442)

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table

Indicator 6: Child Find (Birth to Three)

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data. (20 U.S.C. 1416(a)(3)(B)

and 1442)

Historical Data

Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target ≥		2.05%	2.10%	2.15%	2.20%	2.25%	2.26%	2.35%
Data	2.56%	2.85%	3.25%	3.43%	3.61%	3.86%	3.78%	2.98%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target ≥	3.08%	3.09%	3.10%	3.11%	3.12%	3.13%

Targets: Description of Stakeholder Input

Stakeholders and ICC recommended for indicator 5 and 6:

- To revise strategies for public awareness including: WIC, hospital nurseries, NICU, Pediatricians offices and included EIP nurses as resources for hospitals and pediatric offices.

- In December 2014 a law was approved in Puerto Rico that enforces the promotion of the EIP by hospital and medical facilities in Puerto Rico.

- The PRDOH is developing a brochure with a description of EIP services, location and process from referral to IFSP and transition to preschool services.

Indicator 6: Child Find (Birth to Three)

FFY 2013 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data. (20 U.S.C.

1416(a)(3)(B) and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2013-14 Child Count/Educational Environment Data Groups	9/24/2014	Number of infants and toddlers birth to 3 with IFSPs	3,639	
US Census	8/19/2014	Population of infants and toddlers birth to 3		117928

Explanation of Alternate Data

US Census Population of infants and toddlers birth to 3 was not available in the prepopulated data table. Alternate data was written using the PR estimated 0-3 population from US Census data.

FFY 2013 SPP/APR Data

Number of infants and toddlers birth to 3 with IFSPs	Population of infants and toddlers birth to 3	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data
3,639	117,928	2.98%	3.08%	3.09%

Status
Met Target

Slippage
No Slippage

Provide additional information about this indicator (optional)

Indicator 6: Child Find (Birth to Three)

Required Actions from FFY 2012

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data. (20 U.S.C.

1416(a)(3)(B) and 1442)

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table

Indicator 7: 45-day timeline

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target		100%	100%	100%	100%	100%	100%	100%
Data	86.80%	97.40%	98.40%	93.40%	100%	100%	100%	89.30%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%	100%

Indicator 7: 45-day timeline

FFY 2013 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2013 SPP/APR Data

Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline	Number of eligible infants and toddlers evaluated and assessed for whom an initial IFSP meeting was required to be conducted	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
100	113	89.30%	100%	97.09%	Did Not Meet Target	No Slippage

* FFY 2012 Data are editable on the Historical Data and Targets page.

Number of documented delays attributable to exceptional family circumstances (this number will be subtracted from the number of eligible infants and toddlers evaluated and assessed for whom an initial IFSP meeting was required to be conducted when calculating the FFY 2013 Data)	10
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What is the source of the data provided for this indicator?

- State monitoring
- State database

Describe the method used to select EIS programs for monitoring.

Puerto Rico has records selection for the evaluation of Indicator 7 according to the IFSP date in the Early Intervention Data System. As the criteria for records selection is that the initial IFSP be developed within the reporting period, the Supervision and Monitoring Unit observed that every revised record included a developed initial IFSP. All (7) seven EIS programs in Puerto Rico were included for data collection to evaluate performance of the Commonwealth with indicator 7 through self-assessments. Lists of records were selected from the total of records of children referred to Part C from July 1, 2013 through June 30, 2014. From that list, a systematic selection of records was chosen on an interval given by the ratio of total records in the sampling frame to the identified number of records for each EIS program. The first record was chosen using a random number between 1 and the selection interval number, while the next record was identified using that selection interval. This timeline is representative of our program of the reporting period and represents the population served. For all (7) seven EIS programs Indicator 7 data were collected through self-assessments and analyzed to assess compliance. Documented exceptional family circumstances that prevented the timely an initial evaluation, initial assessment and an initial IFSP meeting are included in the numerator and denominator for calculating actual target data.

Provide additional information about this indicator (optional)

Indicator 7: 45-day timeline

Required Actions from FFY 2012

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table, **not including correction of findings**

PRDOH had verified that five (5) EIS programs with noncompliance identified in FFY 2012 for this indicator: (1) is correctly implementing the specific regulatory requirements based on a review of updated data on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. In the FFY 2013 APR, the State must describe the specific actions that were taken to verify the correction.

Indicator 7: 45-day timeline

Correction of Previous Findings of Noncompliance

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2012

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
31	31	0	0

FFY 2012 Findings of Noncompliance Verified as Corrected

Describe how the State verified that each LEA with noncompliance is correctly implementing the regulatory requirements

EIS programs are correctly implementing the regulatory requirements based in self-assessment performed in each programs. This State uses comprehensive self-assessment collection tool gather information direct from records. The assessment collection tool includes: dates of referral and initial IFSP to assess compliance with the 45 days' timeline; evidence of written notification to family about initial IFSP meeting with intake coordinator; of written notification to family evidence of written consent of family to proceed with evaluation for eligibility determination purposes, including (date, signature), and evidence of mailing sent to family, at least 5 days before the meeting this meeting. The collection tool also includes evidence to proceed with child assessment activities (including date signed), assessment date, and evidence of delivery of families *Procedural Safeguards*; evidence of written consents of families to gathered, maintain, and share with other agencies child and family and information.

Describe how the State verified that each LEA corrected each individual case of noncompliance

A self-assessment was performed in those EIS Programs in noncompliance related to thirty-one (31) findings in non-compliance with the 45 days timeline due to reasons other than exceptional family circumstances. Each EIS program was requested to present evidence of assessed records in order to provide required information that confirms that evaluation was completed and to provide the specific date. In five (5) out of seven (7) EIS programs in noncompliance for FFY 2012 initial IFSP meeting evaluations were held as sooner than one (1) day after 45 days timeline between sixty-eight (68) after Part C 45 days timeline, and the Supervision and Monitoring Unit (SMU) has verified that each EIS program or provider with noncompliance.

Correction of Findings of Noncompliance Identified Prior to FFY 2012

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2012 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
	None		

Indicator 8: Early Childhood Transition

FFY 2013 Data

Monitoring Priority: *Effective General Supervision Part C / Effective Transition*

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2013 SPP/APR Data

Number of toddlers with disabilities exiting Part C	5,709
Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	2,013

Provide additional information about this indicator (optional)

Indicator 8A: Early Childhood Transition

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target		100%	100%	100%	100%	100%	100%	100%
Data	96.10%	91.60%	100%	93.20%	98.60%	100%	100%	100%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%	100%

Indicator 8A: Early Childhood Transition

FFY 2013 Data

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2013 SPP/APR Data

Source	Date	Description	Data	Overwrite Data
Indicator 8	12/12/2014	Number of toddlers with disabilities exiting Part C	5,709	81
Indicator 8	12/12/2014	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	2,013	81

Data include only those toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday.

Yes No

Number of children exiting Part C who have an IFSP with transition steps and services	Number of toddlers with disabilities exiting Part C	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
71	81	100%	100%	97.26%	Did Not Meet Target	Slippage

* FFY 2012 Data are editable on the Historical Data and Targets page.

Number of documented delays attributable to exceptional family circumstances (this number will be subtracted from the number of toddlers with disabilities exiting Part C when calculating the FFY 2013 Data)	8
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Explanation of Slippage

Puerto Rico did not meet the 100% target for Indicator 8A. In two (2) records, although transition steps and services were included in the transition IFSP, the transition conference (where the transition IFSP is completed) was held less than 90 days prior to the child's third birthday. One (1) child had the transition conference 49 days and the other 78 days prior the third birthday.

What is the source of the data provided for this indicator?

- State monitoring State
- database

Describe the method used to select EIS programs for monitoring.

Every EIS program in Puerto Rico was included for data collection through monitoring activities. Lists of records were randomly selected from total number of toddlers (24 months and older) referred to EIS program from July 1, 2013 through June 30, 2014 in all seven (7) EIS Programs.

Provide additional information about this indicator (optional)

Indicator 8A: Early Childhood Transition

Required Actions from FFY 2012

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table, **not including correction of findings**

Indicator 8A: Early Childhood Transition

Correction of Previous Findings of Noncompliance

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2012

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
			0

Correction of Findings of Noncompliance Identified Prior to FFY 2012

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2012 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

Indicator 8B: Early Childhood Transition

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target		100%	100%	100%	100%	100%	100%	100%
Data	91.40%	99.00%	100%	100%	100%	98.40%	100%	100%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%	100%

Indicator 8B: Early Childhood Transition

FFY 2013 Data

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2013 SPP/APR Data

Source	Date	Description	Data	Overwrite Data
Indicator 8	12/12/2014	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	2,013	81

Data include notification to both the SEA and LEA

Yes No

Please explain

In Puerto Rico data is notified to the SEA and the SEA send information to the LEA.

Number of toddlers with disabilities exiting Part C where notification to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
71	81	100%	100%	87.65%	Did Not Meet Target	Slippage

* FFY 2012 Data are editable on the Historical Data and Targets page.

Number of parents who opted out (this number will be subtracted from the number of toddlers with disabilities exiting Part C who were potentially eligible for Part B when calculating the FFY 2013 Data)

Explanation of Slippage

Puerto Rico did not meet the 100% target for Indicator 8B. In two (2) records, although notification to DE occurred within timeline between DOH and DE the notification was sent with less than 90 days prior to the child's third birthday. One (1) child had the transition conference 49 days and the other 78 days prior the third birthday.

Describe the method used to collect these data

Every EIS program in Puerto Rico was included for data collection through monitoring activities. Lists of records wererandomly selected from total number of toddlers (24 months and older) referred to EIS program from July 1, 2013 through

June 30, 2014 in all ~~seven~~ (7) EIS Programs. The actual ~~target data~~ represent review of children's records of randomly selected, transitioning children in all EIS programs. Two (2) families chose to opt out of notification, in accordance with the OSEP-approved opt out policy.

During May and June 2013 Part C received a TA from SERRC to establish referral transition procedures. As part of these procedures, will provide an electronically protected password Excel referral file to Part B by the 15th (or the next business day) of each month with the referral information of two years and seven months of age potentially eligible to Part B.

Do you have a written opt-out policy? Yes

Provide additional information about this indicator (optional)

Indicator 8B: Early Childhood Transition

Required Actions from FFY 2012

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table, **not including correction of findings**

Indicator 8B: Early Childhood Transition

Correction of Previous Findings of Noncompliance

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2012

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
			0

Correction of Findings of Noncompliance Identified Prior to FFY 2012

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2012 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

Indicator 8C: Early Childhood Transition

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target		100%	100%	100%	100%	100%	100%	100%
Data	64.20%	88.00%	99.60%	97.50%	100%	100%	100%	100%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%	100%

Indicator 8C: Early Childhood Transition

FFY 2013 Data

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2013 SPP/APR Data

Source	Date	Description	Data	Overwrite Data
Indicator 8	12/12/2014	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	2,013	81

Data reflect only those toddlers for whom the Lead Agency has conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services

Yes No

Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
71	81	100%	100%	97.26%	Did Not Meet Target	Slippage

* FFY 2012 Data are editable on the Historical Data and Targets page.

Number of toddlers for whom the parent did not provide approval for the transition conference (this number will be subtracted from the number of toddlers with disabilities exiting Part C who were potentially eligible for Part B when calculating the FFY 2013 Data)	
Number of documented delays attributable to exceptional family circumstances (this number also will be subtracted from the number of toddlers with disabilities exiting Part C who were potentially eligible for Part B when calculating the FFY 2013 Data)	8

Explanation of Slippage

Puerto Rico did not meet the 100% target for Indicator 8A. In two (2) records, the transition conference was held less than 90 days prior to the child's third birthday. One (1) child had the transition conference 49 days and the other 78 days prior the third birthday.

What is the source of the data provided for this indicator?

- State monitoring
- State database that includes data for the entire reporting year

Describe the method used to select EIS programs for monitoring.

Every EIS program in Puerto Rico was included for data collection through monitoring activities. Lists of records were randomly selected from total number of toddlers (24 months and older) referred to EIS program from July 1, 2013 through June 30, 2014 in all seven (7) EIS Programs. The actual target data represent review of child records of randomly selected, transitioning children in all EIS programs. Documented exceptional family circumstances that prevented the timely completion of the transition conference are included in the numerator and denominator for calculating the actual target data.

Record of toddlers for whom the parent did not provide approval for the transition conference were previously excluded and it were not part of revised during EIS programs monitoring.

Provide additional information about this indicator (optional)

Indicator 8C: Early Childhood Transition

Required Actions from FFY 2012

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table, **not including correction of findings**

Indicator 8C: Early Childhood Transition

Correction of Previous Findings of Noncompliance

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2012

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
			0

Correction of Findings of Noncompliance Identified Prior to FFY 2012

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2012 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

Indicator 9: Resolution Sessions

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data:

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target ≥								
Data								

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target ≥						

Targets: Description of Stakeholder Input

Indicator 9: Resolution Sessions

FFY 2013 Data

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
EMAPS IDEA Part B Dispute Resolution Survey; Section C: Due Process Complaints	11/12/2013	3.1 Number of resolution sessions		
EMAPS IDEA Part B Dispute Resolution Survey; Section C: Due Process Complaints	11/12/2013	3.1(a) Number resolution sessions resolved through settlement agreements		

FFY 2013 SPP/APR Data

3.1 Number of resolution sessions	3.1(a) Number resolution sessions resolved through settlement agreements	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data	Status	Slippage
					Incomplete Data	n/a

* FFY 2012 Data and FFY 2013 Target are editable on the Historical Data and Targets page.

 Provide additional information about this indicator (optional)

Indicator 9: Resolution Sessions

Required Actions from FFY 2012

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table

Indicator 10: Mediation

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements. (20 U.S.C.

1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2005	2006	2007	2008	2009	2010	2011	2012
Target ≥								
Data				40.00%				

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

FFY 2013 - FFY 2018 Targets

FFY	2013	2014	2015	2016	2017	2018
Target ≥						

Targets: Description of Stakeholder Input

Indicator 10: Mediation

FFY 2013 Data

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements. (20 U.S.C.

1416(a)(3)(B) and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
EMAPS IDEA Part B Dispute Resolution Survey; Section B: Mediation Requests	11/5/2014	2.1.a.i Mediations agreements related to due process complaints	0	
EMAPS IDEA Part B Dispute Resolution Survey; Section B: Mediation Requests	11/5/2014	2.1.b.i Mediations agreements not related to due process complaints	0	
EMAPS IDEA Part B Dispute Resolution Survey; Section B: Mediation Requests	11/5/2014	2.1 Mediations held	0	

FFY 2013 SPP/APR Data

2.1.a.i Mediations agreements related to due process complaints	2.1.b.i Mediations agreements not related to due process complaints	2.1 Mediations held	FFY 2012 Data*	FFY 2013 Target*	FFY 2013 Data
0	0	0			

Status
Incomplete Data

Slippage
n/a

* FFY 2012 Data and FFY 2013 Target are editable on the Historical Data and Targets page.

 Provide additional information about this indicator (optional)

Indicator 10: Mediation

Required Actions from FFY 2012

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements. (20 U.S.C.

1416(a)(3)(B) and 1442)

Actions required in FFY 2012 response table

None

Responses to actions required in FFY 2012 response table

Indicator 11: State Systemic Improvement Plan

Baseline and Targets

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Baseline Data

FFY	2013
Data	34.68%

FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target	34.68%	34.69%	34.70%	34.71%	34.72%

Description of Measure

Targets: Description of Stakeholder Input

Indicator 11: State Systemic Improvement Plan

Data Analysis

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Data Analysis

A description of how the State identified and analyzed key data, including data from SPP/APR indicators, 618 data collections, and other available data as applicable, to: (1) select the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families, and (2) identify root causes contributing to low performance. The description must include information about how the data were disaggregated by multiple variables (e.g., EIS program and/or EIS provider, geographic region, race/ethnicity, socioeconomic status, gender, etc.) As part of its data analysis, the State should also consider compliance data and whether those data present potential barriers to improvement. In addition, if the State identifies any concerns about the quality of the data, the description must include how the State will address these concerns. Finally, if additional data are needed, the description should include the methods and timelines to collect and analyze the additional data.

Indicator 11: State Systemic Improvement Plan

Analysis of State Infrastructure

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Analysis of State Infrastructure to Support Improvement and Build Capacity

A description of how the State analyzed the capacity of its current infrastructure to support improvement and build capacity in EIS programs and/or EIS providers to implement, scale up, and sustain the use of evidence-based practices to improve results for infants and toddlers with disabilities and their families. State systems that make up its infrastructure include, at a minimum: governance, fiscal, quality standards, professional development, data, technical assistance, and accountability/monitoring. The description must include current strengths of the systems, the extent the systems are coordinated, and areas for improvement of functioning within and across the systems. The State must also identify current State-level improvement plans and other early learning initiatives, such as Race to the Top-Early Learning Challenge and the Home Visiting program and describe the extent that these new initiatives are aligned, and how they are, or could be, integrated with, the SSIP. Finally, the State should identify representatives (e.g., offices, agencies, positions, individuals, and other stakeholders) that were involved in developing Phase I of the SSIP and that will be involved in developing and implementing Phase II of the SSIP.

Indicator 11: State Systemic Improvement Plan

Measurable Result for Infants and Toddlers with Disabilities

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and Their Families

A statement of the result(s) the State intends to achieve through the implementation of the SSIP. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be aligned to an SPP/APR indicator or a component of an SPP/APR indicator. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be clearly based on the Data and State Infrastructure Analyses and must be a child- or family-level outcome in contrast to a process outcome. The State may select a single result (e.g., increase the rate of growth in infants and toddlers demonstrating positive social-emotional skills) or a cluster of related results (e.g., increase the percentage reported under child outcome B under Indicator 3 of the SPP/APR (knowledge and skills) and increase the percentage trend reported for families under Indicator 4 (helping their child develop and learn)).

Statement

Description

Indicator 11: State Systemic Improvement Plan

Selection of Coherent Improvement Strategies

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Selection of Coherent Improvement Strategies

An explanation of how the improvement strategies were selected, and why they are sound, logical and aligned, and will lead to a measurable improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families. The improvement strategies should include the strategies, identified through the Data and State Infrastructure Analyses, that are needed to improve the State infrastructure and to support EIS program and/or EIS provider implementation of evidence-based practices to improve the State-identified result(s) for infants and toddlers with disabilities and their families. The State must describe how implementation of the improvement strategies will address identified root causes for low performance and ultimately build EIS program and/or EIS provider capacity to achieve the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

Indicator 11: State Systemic Improvement Plan

Theory of Action

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Theory of Action

A graphic illustration that shows the rationale of how implementing the coherent set of improvement strategies selected will increase the State's capacity to lead meaningful change in EIS programs and/or EIS providers, and achieve improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

Submitted Theory of Action: No Theory of Action Submitted



Provide a description of the provided graphic illustration (optional)

Certify and Submit your SPP/APR

I certify that I am the Director of the State's Lead Agency under Part C of the IDEA, or his or her designee, and that the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report is accurate.

Selected: Lead Agency Director

Name and title of the individual certifying the accuracy of the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report.

Name: Naydamar Perez, MD

Title: Part C Director

Email: naydamar@salud.pr.gov

Phone: 787-765-2929