



**Puerto Rico Part C APR**

**FFY 2012-2013**

## Part C State Annual Performance Report (APR) for FFY 2012

### Overview of the Annual Performance Report Development:

Puerto Rico Department of Health (PRDH) gathered and analyzed data for the development of FFY 2012 APR using PRDH staff with content, data analysis and program evaluation expertise. The APR draft, along with actual data, targets and activities, was presented to the SPP/APR Steering Committee comprised of members of the Interagency Coordinating Council, including parents, Department of Education, Early Head Start/Head Start Programs, Department of the Family, University Center of Excellence in Developmental Disabilities, and Parent Information and Training Center representatives, among others on January 28, 2014. The SPP/APR Steering Committee assisted the PRDH in examining data, as well as FFY 2012 targets and activities. This Committee provided input and recommendations for improvement.

In the determination letter submitted by OSEP on July 1, 2013, Puerto Rico was notified that the Department of Education had determined that, under IDEA sections 616(d)(2)(A)(i) and 642, Puerto Rico Meets the Requirements of Part C of IDEA. Puerto Rico provides valid and reliable FFY 2011 data reflecting the measurement for each indicator and reported high levels of compliance or correction of previously identified findings of noncompliance for Indicators 1, 8B in FFY 2011 APR and revised SPP for each year through FFY 2012.

Puerto Rico received TA from SERRC and ECO center in FFY 2012 focused on Indicator 3 in order to improve the child outcomes.

Puerto Rico will make its FFY 2012 APR available through public means, including posting on the website of the Puerto Rico Department of Health and distribution through public agencies, including the Puerto Rico Department of Education, Early Head Start/Head Start Programs, Department of the Family, Office of the Ombudsman for Persons with Disabilities, Developmental Disabilities Council, University Center of Excellence in Developmental Disabilities, and the Parents Training Center. Puerto Rico Annual Performance Report will be available in English and a detailed document with the results for Indicators one (1) through eight (8) by Early Intervention Programs (EIP) compared to overall Puerto Rico performance will be developed in Spanish and posted on the Department of Health website (*Public Reporting for FFY 2012*): <http://www.salud.gov.pr/PARTCPROGRAM/Pages/default.aspx>

**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

**Monitoring Priority: Early Intervention Services In Natural Environments**

**Indicator 1:** Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

**Measurement:**

Percent = [(# of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner) divided by the (total # of infants and toddlers with IFSPs)] times 100.

Account for untimely receipt of services, including the reasons for delays.

FFY	Measurable and Rigorous Target
2012	100 % of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

**Actual Target Data for FFY 2012:**

99.3 %
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The actual target data were derived from Self-assessments completed by Supervisor of Pediatric Centers between July 1, 2012 and June 30, 2013. These data are obtained from randomly selected child records from all (7) seven EIS programs. Records were randomly selected from a list of children with new early intervention services in their initial IFSP, IFSP six (6) months review or annual revisions from July 1, 2012 through June 30, 2013. This timeline is representative of our program for the reporting period and represents the population served. The report was disaggregated by region and sent to the appropriate EIS Supervisor. The Supervisor of EIS program compared the data from list (child name, IFSP date, service dates, calculation of days from the IFSP date and the initiation of service) with service billing data and/or provider service contact quarterly notes.

Data collected represent the initiation of new early intervention services from initial IFSPs and subsequent IFSPs from July 1, 2012 through June 30, 2013. The criteria for receipt of timely services are 30 calendar days from the date the family consented to the service; or the IFSP initiation date (established by the IFSP Team, including the parent). Documented exceptional family circumstances that prevented the timely delivery of early intervention services are included in the numerator and denominator for calculating actual target data. Prior to determining noncompliance, the Evaluator of the SMU conducted child-specific review of IFSP documentation

and billing data to verify that the EIS program report produced valid data for reporting for this indicator.

**Infants and Toddlers with IFSPs who receive Early Intervention Services in a Timely Manner:**

a. Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	<b>309</b>
b. Total number of infants and toddlers with IFSPs	<b>311</b>
Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner (Percent = [(a) divided by (b)] times 100)	99%

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012<sup>1</sup>:**

a. Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.	<b>292</b>
b. Number of infants and toddlers with IFSPs not receiving early intervention services in a timely manner due to documented exceptional family circumstances.	<b>17</b>
c. Number of infants and toddlers with IFSPs not receiving timely service delivery for reasons other than documented exceptional family circumstances.	<b>2</b>
Total number of infants and toddlers with IFSPs	<b>311</b>

Puerto Rico came closer to the 100% target compliance for Indicator 1. The percent of infants and toddlers who received early intervention services on their initial and subsequent IFSP in a timely manner was 99.3%, showing progress from 97% in FFY 2011.

Seventeen (17) children with IFSPs were not receiving early intervention services in a timely manner due to documented exceptional family circumstances. Ten (10) families were not available at the time of visit; one (1) child was hospitalized; three (3) children were sick on the day of service and one (1) service was not provided due to family vacations; (2) families cancelled the service on the day of visit. These children were included in the numerator and denominator.

Two (2) records showed untimely early intervention services due to reasons other than exceptional family circumstances. The slippage occurred in 2 of the 7 EISs programs. Reasons for delay in service provision were: one (1) because the provider was sick; one (1) due to error in calculation of the day of service initiation resulted in one (1) day delay in service provision.

**Correction of FFY 2011 Findings of Noncompliance (if State reported less than 100% compliance):**

Level of compliance (actual target data) State reported for FFY 2011 for this indicator: 97%

1. Number of findings of noncompliance the State made during FFY 2011 (the period from July 1, 2011, through June 30, 2012)	<b>7</b>
2. Number of FFY 2011 findings the State verified as timely corrected (verified as corrected within one year from the date of notification to the EIS program of the finding)	<b>7</b>
3. Number of FFY 2011 findings <u>not</u> verified as corrected within one year [(1) minus (2)]	<b>0</b>

**Correction of FFY 2011 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:**

4. Number of FFY 2011 findings not timely corrected (same as the number from (3) above)	<b>0</b>
5. Number of FFY 2011 findings the State has verified as corrected beyond the one-year timeline (“subsequent correction”)	<b>0</b>
6. Number of FFY 2011 findings <u>not</u> verified as corrected [(4) minus (5)]	<b>0</b>

**Two Prongs for Correction in OSEP Memo 09-02**

According to OSEP Memo 09-02 dated October 17, 2008, Puerto Rico must ensure and report that it has corrected each individual case of noncompliance. OSEP also requires that the jurisdiction ensures that every EIS program is correctly implementing the specific regulatory requirements 34 CFR §§303.340(c), 303.342(e), and 303.344(f)(1) based on a review of updated data collected through on-site monitoring and self-assessment. The Commonwealth on June 2012 revised FFY 2011 data to demonstrate that four (4) EIS programs in noncompliance met the requirements of OSEP Memo 09-02.

**Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2011:**

The Commonwealth verified that each EIS program with noncompliance was notified on September, 2012 (FFY 2011 data): (1) is correctly implementing 34 CFR §§303.340(c), 303.342(e), and 303.344(f)(1) (i.e., achieved 100% compliance) based on updated data revised in June 2012 collected through self-assessments from November 2011 to March 2012; and (2) has initiated services, although late, for any child whose services were not initiated in a timely manner, consistent with OSEP Memorandum 09-02, dated October 17, 2008 (OSEP Memo 09-02). The Commonwealth met these requirements for findings identified in FFY 2011. The Commonwealth demonstrated that it has corrected the seven (7) findings of noncompliance identified in FFY 2011.

Updated data from self-assessments were analyzed to determine if the four (4) EIS programs in noncompliance for FFY 2011 were correctly implementing the specific regulatory requirements for Indicator I.

**Correction of FFY 2011 Findings of Noncompliance according OSEP Memo 09-02**

Seven (7) children received untimely early intervention services during FFY 2011 for reasons other than exceptional family circumstances. Children belonged to four (4) different EIS programs and all of them received the services, although late.

In the first EIS program three (3) children did not receive the service within the 30-day timeline from parents' consent: two (2) because the service provider was absent on the date of the initial service and one (1) child because the coordinator established the day of initiation beyond the 30 days' timeline. Out of the two (2) children who didn't received timely services due to provider's absence, one (1) family refused the services after that situation; the other the child exited due to age before reschedules the services. The other child received the services three (3) days later.

In the second EIS program two (2) children did not receive the service within the 30-day timely from parents' consent: for one (1) child the corporation didn't provide timely service; for the other child the service provider renounced. The service provider didn't include this service in the monthly report, then, the USM revised the bill of the corporation and verify that this provider didn't provide services to this child during that month. This child was not rescheduled since the child exited the program after three unsuccessful contacts. For the other child the service provider renounces and other provider was designated and the service was provider 105 days later.

In the third EIS program one (1) child did not receive the service within the 30-day timeline from parents' consent because DOH provider cancelled due to transportation problem. This child received the service five (5) days later.

In the fourth EIS program one (1) child received the untimely service because the coordinator didn't delivered on time the IFSP to the Corporation for the initiation of services. This child receives twenty-three (23) days later.

**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

**Monitoring Priority: Early Intervention Services In Natural Environments**

**Indicator 2:** Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

**Measurement:** Percent = [(# of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings) divided by the (total # of infants and toddlers with IFSPs)] times 100.

FFY	Measurable and Rigorous Target
2012	99.7 % of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

**Actual Target Data for (2012):**

FFY	Target	Measurement and Data source:	Actual Data FFY 2012
2012	<b>99.7%</b>	<p><b>Measurement:</b> 3,625 children out of 3,683 received services at home or in community-based settings.</p> <p><b>Data source:</b> Data collected on FFY 2012 Table 2 of Information Collection 1820-0578 (Report of Program Settings Where Early Intervention Services are provided to Children with Disabilities and their Families in Accordance with Part C).</p>	<p><b>Explanation of numbers from Section 618 report:</b></p> <p style="padding-left: 40px;">3, 026 children served at home 599 children served in community-based settings</p> <hr style="width: 50%; margin-left: 0;"/> <p style="padding-left: 40px;">3, 625 total served at home or in community-based settings (3,625/3,683 x 100= 98.4%)</p> <p><b>Percentage: 98.4%</b></p>

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for (2012):**

The USM examines 618 data bi-annually to identify common errors and duplicates within and between EIS programs. This activity allows for validation and verification of data in an ongoing basis. Puerto Rico's did not meet the target. The percentage of EIS services provided in natural environments during FFY 2012 (98.4%). Three (3) EIS program did not provide services in natural environments due to administrative circumstances, including: providers' renouncements; shortage of personnel in variety of disciplines (physical and occupational therapy); unwillingness of providers to travel to homes and community-settings and rural areas; difficulty in recruiting therapists for some

areas island wide; lack communication between service coordinators, providers and program administrator at Pediatric Center; poor supervision by Contractor of services coordinators.

Puerto Rico early intervention system of services assures that family rights are respected when the family or team decide that services are not going to be provided in natural environments. Service coordinators provide orientation to parents that services may be provided at Pediatric Centers for a limited time considering particular family circumstances and needs of the child.

## Part C State Annual Performance Report (APR) for FFY 2012

## Overview of the Annual Performance Report Development:

## Monitoring Priority: Early Intervention Services In Natural Environments

**Indicator 3:** Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

**Measurement:**

A. Positive social-emotional skills (including social relationships):

- a. Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(# of infants and toddlers who maintained functioning at a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

If a + b + c + d + e does not sum to 100%, explain the difference.

B. Acquisition and use of knowledge and skills (including early language/communication):

- a. Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

- d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers =  $[(\# \text{ of infants and toddlers who improved functioning to reach a level comparable to same-aged peers}) \div (\# \text{ of infants and toddlers with IFSPs assessed})] \times 100$ .
- e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers =  $[(\# \text{ of infants and toddlers who maintained functioning at a level comparable to same-aged peers}) \div (\# \text{ of infants and toddlers with IFSPs assessed})] \times 100$ .

If a + b + c + d + e does not sum to 100%, explain the difference.

C. Use of appropriate behaviors to meet their needs:

- a. Percent of infants and toddlers who did not improve functioning =  $[(\# \text{ of infants and toddlers who did not improve functioning}) \div (\# \text{ of infants and toddlers with IFSPs assessed})] \times 100$ .
- b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers =  $[(\# \text{ of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers}) \div (\# \text{ of infants and toddlers with IFSPs assessed})] \times 100$ .
- c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it =  $[(\# \text{ of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it}) \div (\# \text{ of infants and toddlers with IFSPs assessed})] \times 100$ .
- d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers =  $[(\# \text{ of infants and toddlers who improved functioning to reach a level comparable to same-aged peers}) \div (\# \text{ of infants and toddlers with IFSPs assessed})] \times 100$ .
- e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers =  $[(\# \text{ of infants and toddlers who maintained functioning at a level comparable to same-aged peers}) \div (\# \text{ of infants and toddlers with IFSPs assessed})] \times 100$ .

**Summary Statements for Each of the Three Outcomes:**

**Summary Statement 1:** Of those infants and toddlers who entered or exited early intervention below age expectations in each Outcome, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program.

**Measurement for Summary Statement 1:** Percent =  $[\# \text{ of infants and toddlers reported in progress category (c) plus } \# \text{ of infants and toddlers reported in category (d)} \div [\# \text{ of infants and toddlers reported in progress category (a) plus } \# \text{ of infants and toddlers reported in progress category (b) plus } \# \text{ of infants and toddlers reported in progress category (c) plus } \# \text{ of infants and toddlers reported in progress category (d)}] \times 100$ .

**Summary Statement 2:** The percent of infants and toddlers who were functioning within age expectations in each Outcome by the time they turned 3 years of age or exited the program.

**Measurement for Summary Statement 2:** Percent =  $[\# \text{ of infants and toddlers reported in progress category (d) plus } \# \text{ of infants and toddlers reported in progress category (e)} \div (\text{total } \# \text{ of infants and toddlers reported in progress categories (a) + (b) + (c) + (d) + (e)})] \times 100$ .

Actual Target Data for (2012):

Target Data and Actual Target Data for FFY 2011:

Targets and Actual Data for Part C Children Exiting in FFY 2012 (2012-13)

Summary Statements	Actual/Baseline FFY 2011 (% and # children)	Actual FFY 2012 (% and # children)	Target FFY 2012 (% of children)
<b>Outcome A: Positive social-emotional skills (including social relationships)</b>			
1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they exited the program. Formula: $c+d/a+b+c+d$	39.4%  (n= 246/624)	39.2%  (n= 229/584)	42%
2. The percent of children who were functioning within age expectations in Outcome A by the time they exited the program. Formula: $d+e/a+b+c+d+e$	53.9%  (n= 561/958)	46.5%  (n= 281/819)	55%
<b>Outcome B: Acquisition and use of knowledge and skills (including early language/communication and early literacy)</b>			
1 Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they exited the program. Formula: $c+d/a+b+c+d$	32.5%  (n= 297/915)	31.3%  (n= 248/792 )	36%
2. The percent of children who were functioning within age expectations in Outcome B by the time they exited the program. Formula: $d+e/a+b+c+d+e$	18.7%  (n= 179/958 )	12.6%  (n= 103/819 )	22%
<b>Outcome C: Use of appropriate behaviors to meet their needs</b>			
1 Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they exited the program. Formula: $c+d/a+b+c+d$	28.3%  (n= 185/653)	29.0%  (n= 177/610)	34%
2. The percent of children who were functioning within age expectations in Outcome C by the time they exited the program. Formula: $d+e/a+b+c+d+e$	43.9%  (n= 421/958)	37.7%  (n= 309/819)	45%

Progress Data for Part C Children FFY 2012

A. Positive social-emotional skills (including social relationships):	Number of children	% of children
a. Percent of children who did not improve functioning	46	5.6%
b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	309	37.7%
c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach	83	10.1%
d. Percent of children who improved functioning to reach a level comparable to same-aged peers	146	17.8%
e. Percent of children who maintained functioning at a level comparable to same-aged peers	235	28.7%
<b>Total</b>	<b>819</b>	<b>100%</b>
B. Acquisition and use of knowledge and skills (including early language/communication and early literacy):	Number of children	% of children
a. Percent of children who did not improve functioning	54	6.6%
b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	490	59.8%
c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach	172	21.0%
d. Percent of children who improved functioning to reach a level comparable to same-aged peers	76	9.3%
e. Percent of children who maintained functioning at a level comparable to same-aged peers	27	3.3%
<b>Total</b>	<b>819</b>	<b>100%</b>
C. Use of appropriate behaviors to meet their needs:	Number of children	% of children
a. Percent of children who did not improve functioning	25	3.1%
b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	408	49.8%
c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach	77	9.4%
d. Percent of children who improved functioning to reach a level comparable to same-aged peers	100	12.2%
e. Percent of children who maintained functioning at a level comparable to same-aged peers	209	25.5%
<b>Total</b>	<b>819</b>	<b>100%</b>

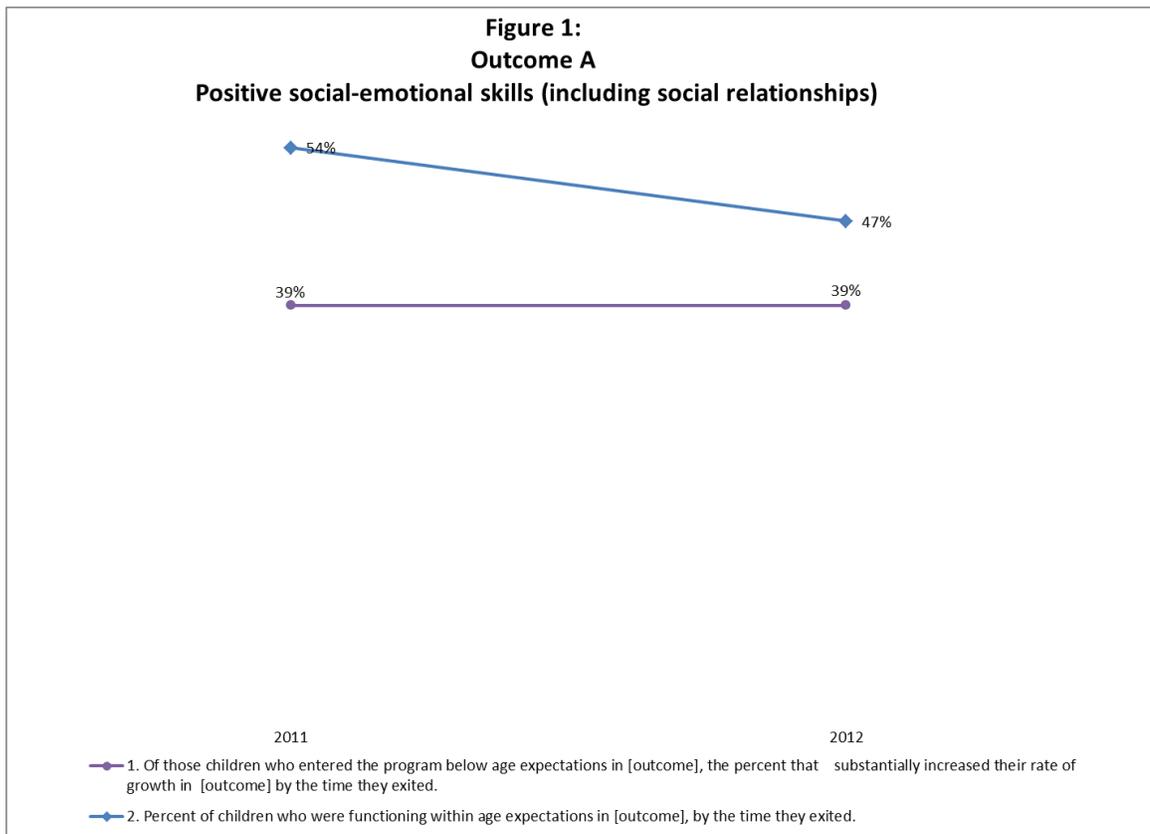
**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for (2012):**

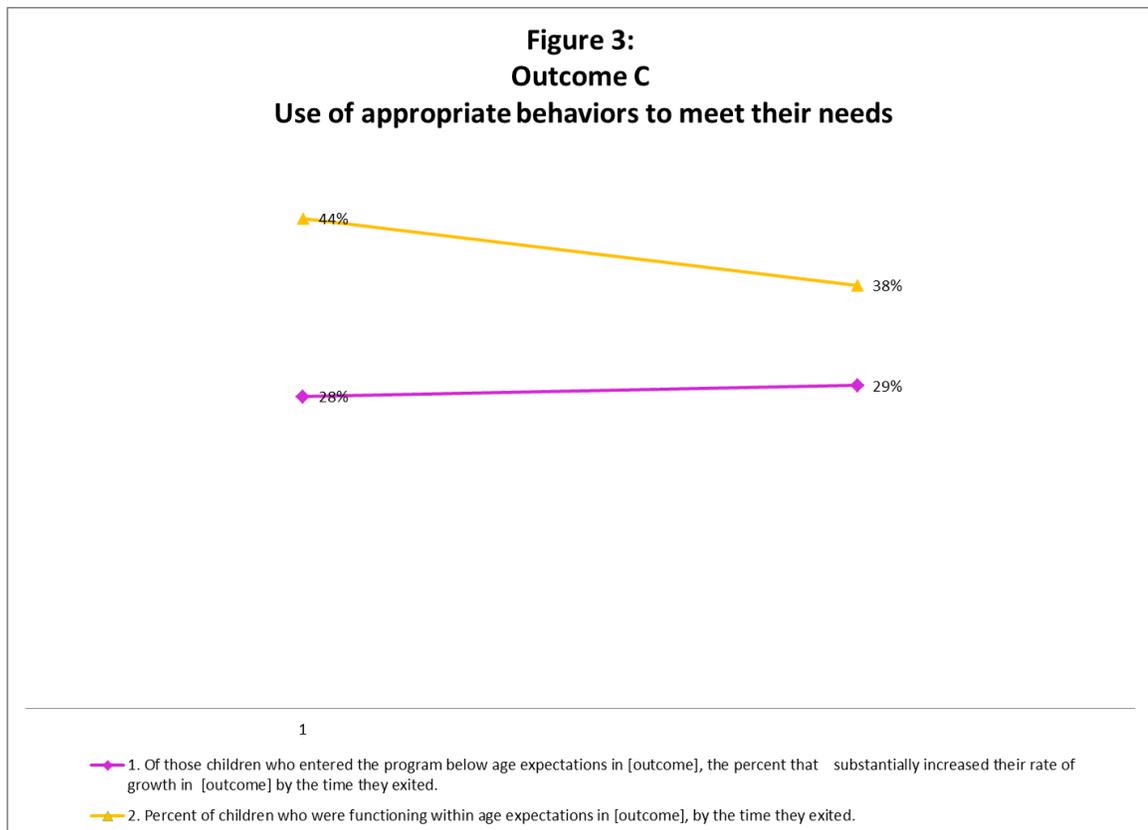
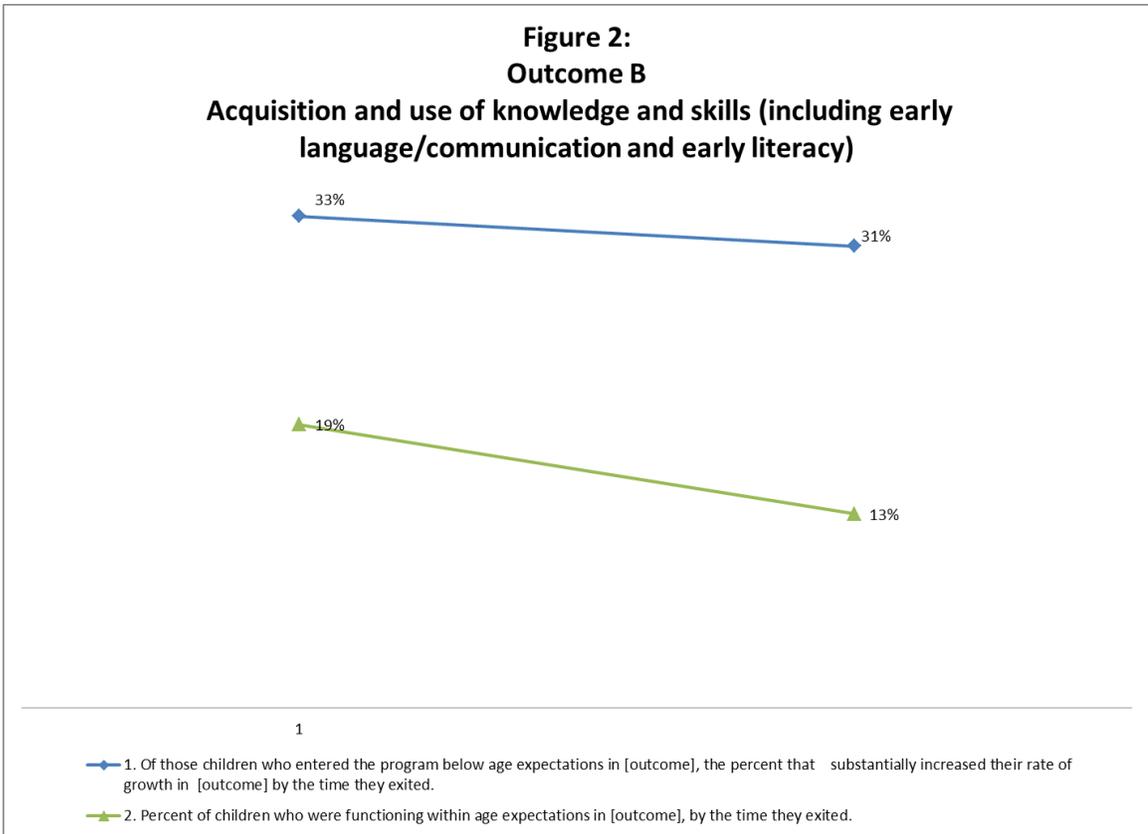
**Summary Statement (SS) by Outcome FFY's 2011-2012**

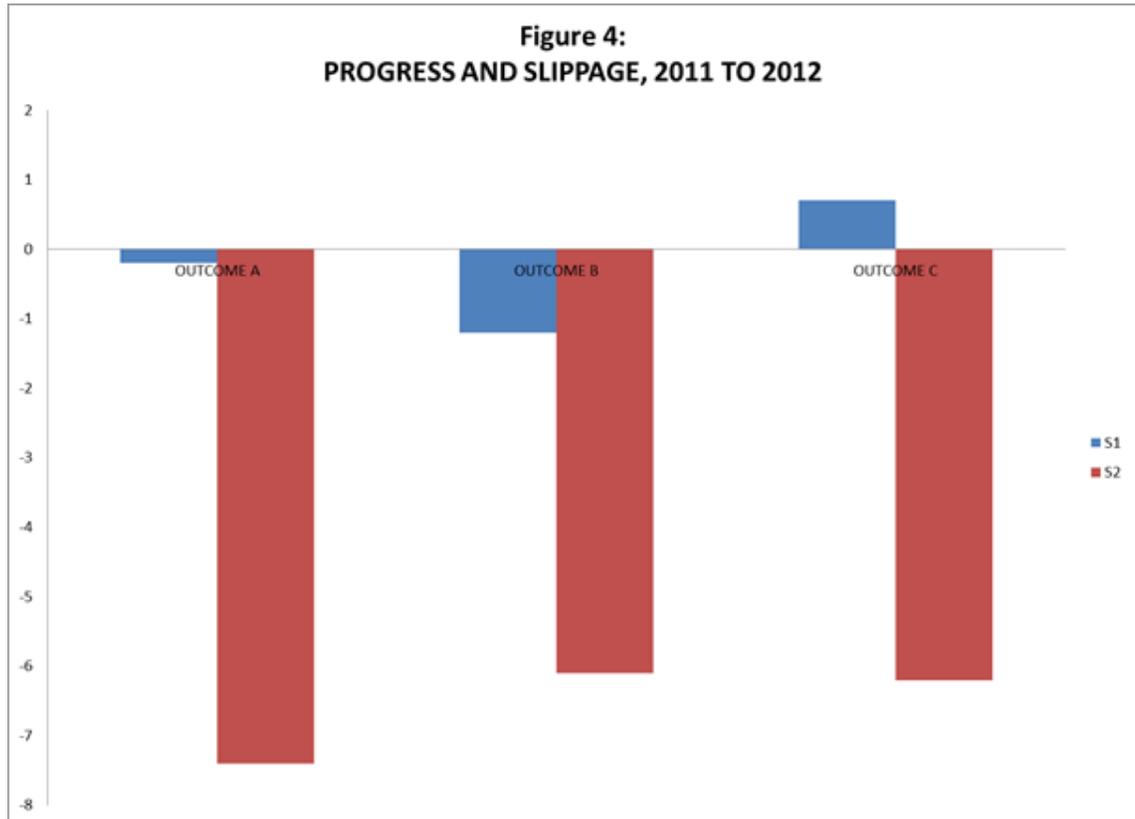
We didn't meet our targets for SS1 and SS2 for the Outcome A, B and C.

- Summary Statement 1 for Outcome A was at 39% for FFY2012. In FFY 2011, it was 39%. No change in the percentages was observed.
- Summary Statement 1 for Outcome B was at 31% for FFY2012. In FFY 2011, it was 33%. It decreased by 2%.
- Summary Statement 1 for Outcome C was at 29% for FFY2012. In FFY 2011, it was 28%. It increased by 1%.
- Summary Statement 2 for Outcome A was at 47% for FFY2012. In FFY 2011, it was 54%. It decreased by 7%.
- Summary Statement 2 for Outcome B was at 13% for FFY2012. In FFY 2011, it was 19%. It decreased by 6%.
- Summary Statement 2 for Outcome C was at 38% for FFY2012. In FFY 2011, it was 44%. It increased by 6%.

Data analysis shows: SS1 data for all three outcomes stayed relatively the same, while SS2 data for all three decreased. The total number of children decreased from FFY2011 by 15%. See the Figures 1 to 3.







**Discuss the number of children in the data set and the number/percentage of children missing in the outcomes data. Describe how you calculate the missing data.**

The seven (7) EIS programs in Puerto Rico were included for data collection to evaluate the outcomes for Indicator 3. A total of 819 of 2,847 children from the seven (7) EIS programs were included from all exiting children using the inclusion and exclusion criteria. In order to reduce missing data the SMU is using the following:

**A. Inclusion criteria:**

- a) Children exiting Part C during FFY 2012 (July 1, 2012- June 30, 2013).
- b) Children with entry and exiting COSF.
- c) Children with at least six (6) months of services.

**B. Exclusion criteria:**

- a) Children with services for less than six months.
- b) Children with missing entry or exit dates.
- c) Children with missing data in the COS rating (1-7).
- d) Children with no information about child’s progress at exit (More than six months but less than one year services).

**Discuss the a-e progress data with regard to patterns in the data and how they compare to what you would expect. Is the state’s percentage in ‘a’ higher than you would expect? Is the State’s percentage in ‘b’, ‘c’, ‘d’, or ‘e’ lower or higher than you would expect?**

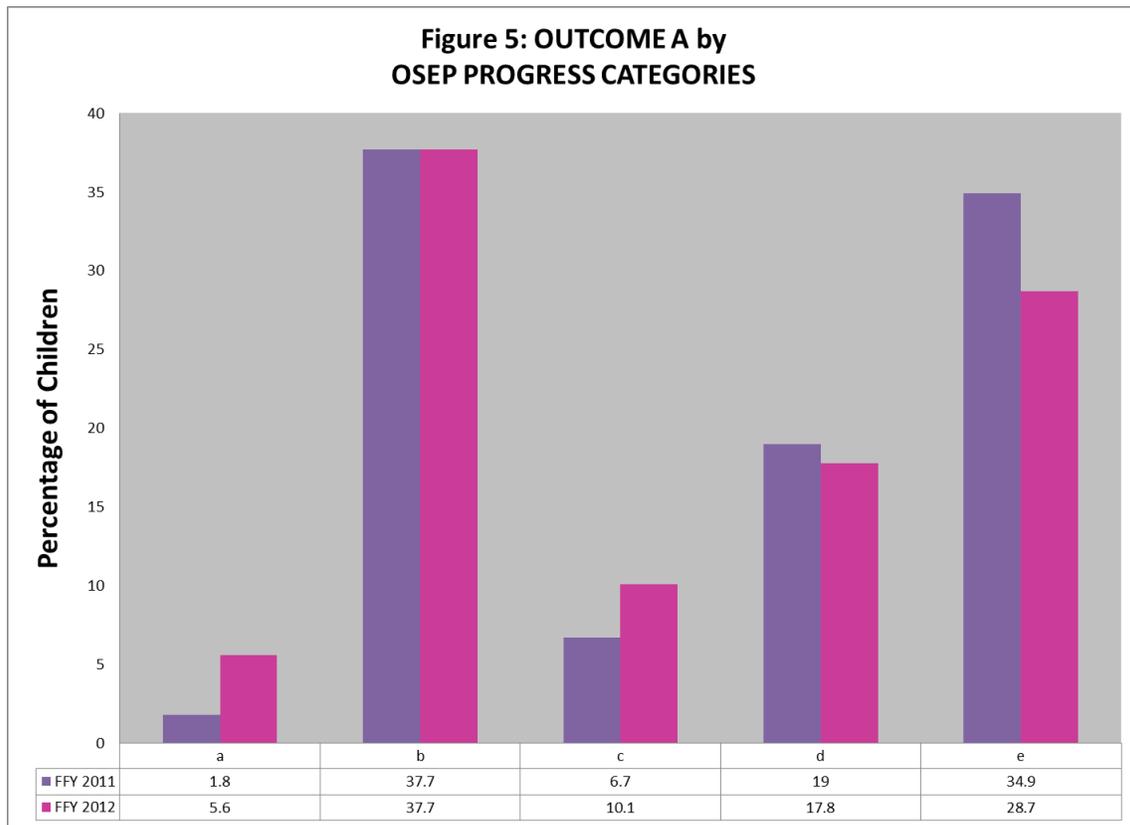
The progress category data is very similar to last year. Actual data shows that by far the lowest percentages were reported in progress category ‘a’ (ranging from 3% to 7%) by category ‘d’ (9% to 18%), and category ‘c’ (9% to 21%). For progress category ‘b,’ the percentage is higher for Outcome B (knowledge and skills) and C (action to meet needs), ranging from 50% to 60%. For progress category ‘e,’ the percentage is higher for Outcome A (social relationships) and C (action to meet needs), but lower for Outcomes B (knowledge and skills), ranging from 3% to 29%. The numbers and patterns were consistent with last year’s.

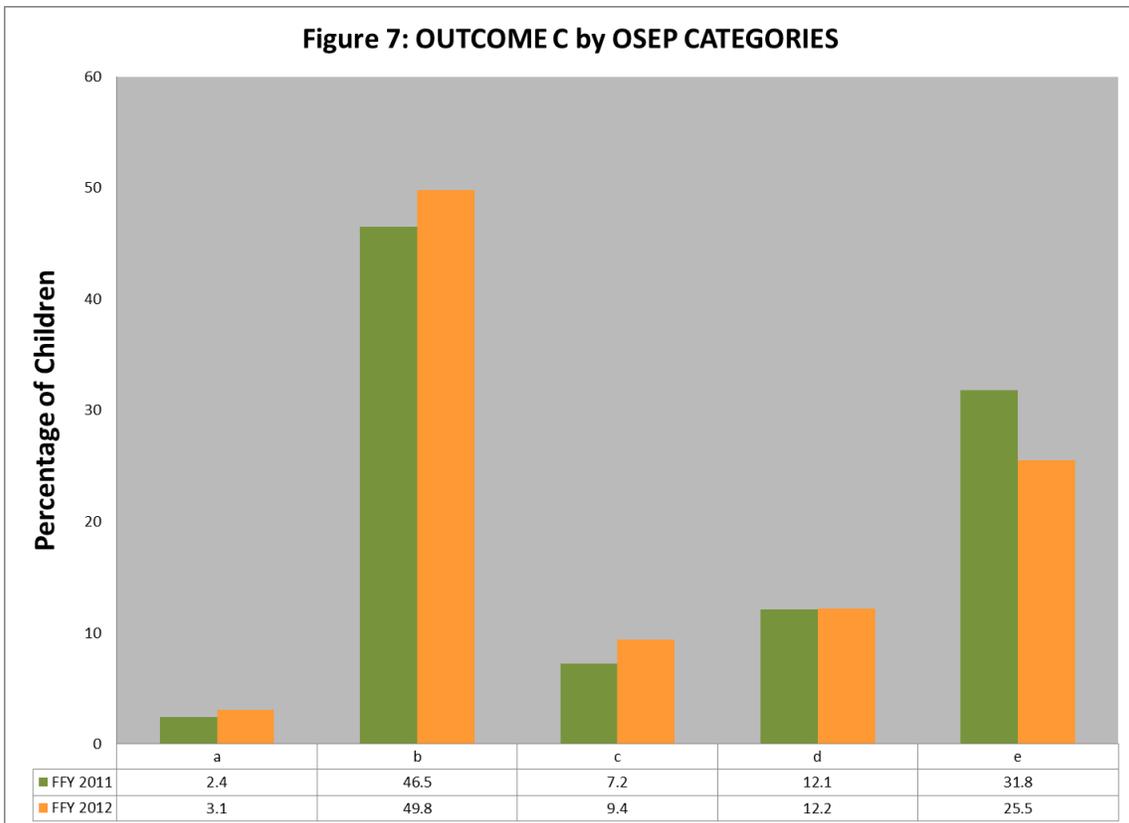
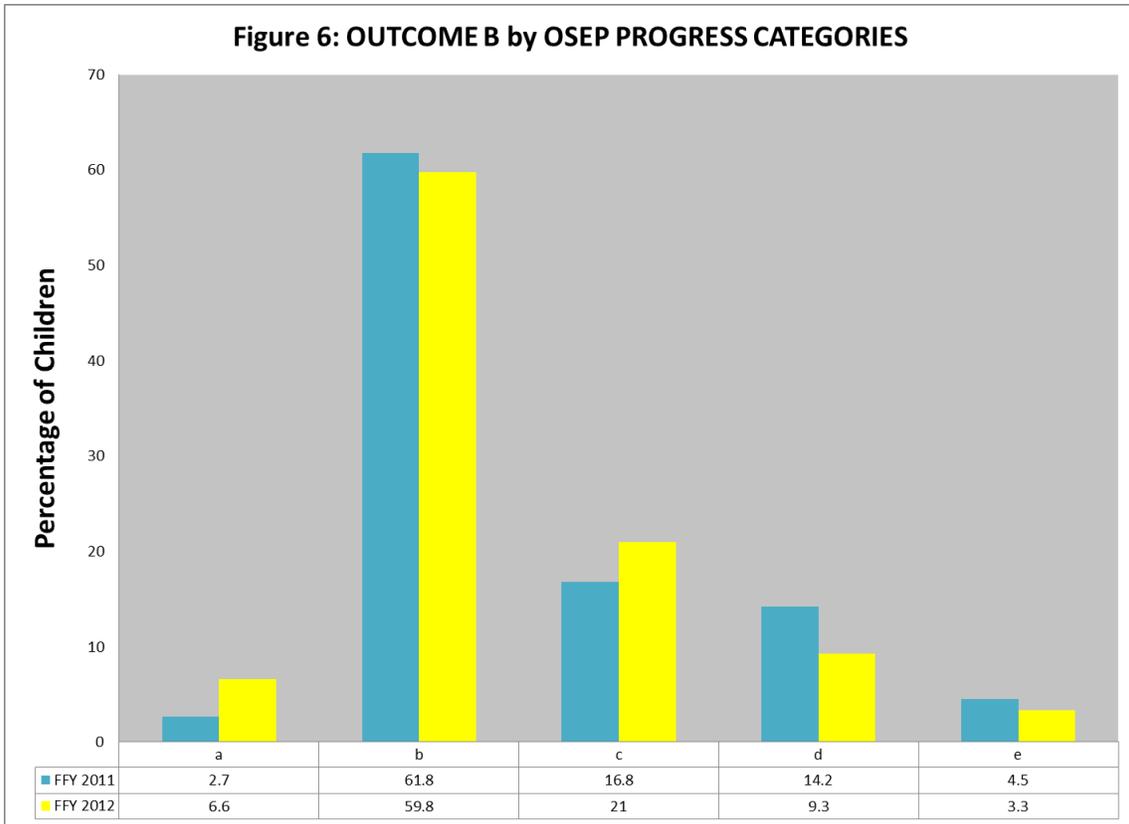
In FFY 2011, progress category ‘a’ showed the lowest percentage (ranging from 2% to 3%) and actual data also shows a lower percentage as compared with the others progress categories. Actual data shows an increase in the category ‘a’ as compared with FFY 2011, ranging from .7% to 4%.

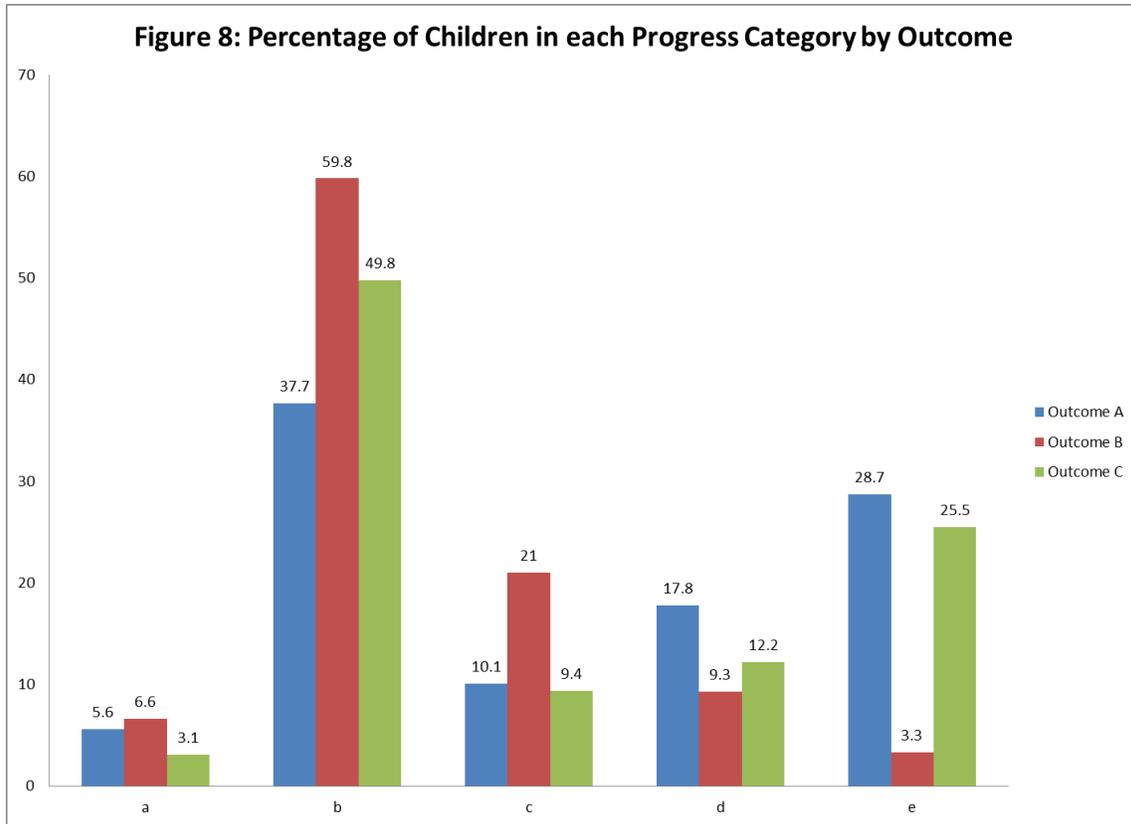
The percentages reported for progress category ‘c’ increase as compared with FFY 2011 data in the three Outcomes ranging from .7% to 4%. For progress category ‘d’ there was a 5% decrease for Outcome B.

Puerto Rico maintained the highest percentage for progress category ‘b’ in FFY 2011 and FFY 2012 data for the three Outcomes, especially Outcome B.

In FFY 2011, for progress category ‘e’ the percentage is higher for Outcomes A and C and lower in Outcome B. For FFY 2011 and 2012 the percentages of children show the same pattern for three Outcomes, but in FFY 2012 data shows a decrease in the three Outcomes (ranging from 1% to 6%). Fewer children were reported as maintaining age expectations in Outcome B as compared to A and C. Progress categories ‘e’ has an interesting pattern as well, data shows >200 children each of Outcomes A and C, but only approximately 20 children in this category in Outcome B.







**Explanation of Progress or Slippage:**

Trend data for the 'b' progress category were high of number of children in all three outcome areas for the last two years. In the data analysis, we're not including variables such as race, ethnicity, eligibility status, length of time in services, family income level, geographic area, diagnosis and degree of delay, Medicaid enrollment, age at referral, and family outcomes; those variables would provide some possible explanation of the percentages in the progress categories.

Puerto Rico did not meet the targets for the three Outcomes. The providers are need additional training in order to have a better understanding on the rating process and gain accuracy and confidence in the COSF implementation process. The State continues revising and implementing process and procedures from eligibility through IFSP to obtain better child outcomes data and to provide better ongoing training to staff. As part of the revision of the eligibility process received technical assistance from SERRC and ECO center. As a result of TA,, providers shared a list of the criteria and instruments use by States for eligibility determination and how that instruments are related with the COS process. The Commonwealth is establishing policies and procedures in order to collect information about child's progress at exit (more than six months but less than one year services) because actually those are missing in the data analysis.

**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

**Monitoring Priority: Early Intervention Services In Natural Environments**

**Indicator 4:** Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

**Measurement:**

A. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family know their rights) divided by the (# of respondent families participating in Part C)] times 100.

B. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs) divided by the (# of respondent families participating in Part C)] times 100.

C. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn) divided by the (# of respondent families participating in Part C)] times 100.

**Actual Target Data for (2012):**

FFY	Measurable and Rigorous Target	Actual Data for 1 Federal Fiscal Year 2011 <sup>2</sup>
2012	A: <b>90.1%</b> Families participating in Part C who report that early intervention services have helped the family know their rights.	4A <b>551</b> families reported that early intervention services helped them know their rights <hr/> <b>593</b> families surveyed x 100 = 92.9%  <b>Percentage: 92.9%</b>
2012	B: <b>91.1%</b> Families participating in Part C who report that early intervention services have helped the family effectively	4B <b>548</b> families reported that early intervention services helped them effectively communicate their children's needs <hr/>

**APR Template – Part C (1)**

FFY	Measurable and Rigorous Target	Actual Data for1 Federal Fiscal Year 2011 <sup>2</sup>
	communicate their children's needs	<p><b>593</b> families surveyed x 100 = <b>92.4%</b></p> <p><b>Percentage: 92.4%</b></p>
<b>2012</b>	C: <b>93.2%</b> families participating in Part C who report that early intervention services have helped the family help their children develop and learn	<p>4C</p> <p><b>569</b> families reported that early intervention services helped them help their child develop and learn</p> <hr/> <p><b>593</b> families surveyed x 100 = 96.0%</p> <p><b>Percentage: 96.0%</b></p>

Puerto Rico met its targets and exceeded for the three (3) Family Outcomes.

**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

**Monitoring Priority: Effective General Supervision Part C / Child Find**

**Indicator 5:** Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**  
Percent = [(# of infants and toddlers birth to 1 with IFSPs) divided by the (population of infants and toddlers birth to 1)] times 100 compared to national data.

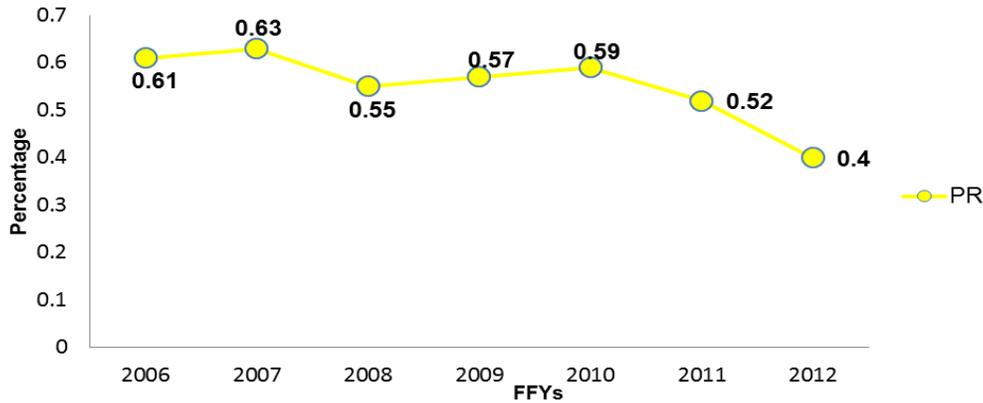
FFY	Measurable and Rigorous Target
2012	0.62% infants and toddlers in Puerto Rico under age one year received Part C services out of population of infants and toddlers birth to 1.

**Actual Target Data for (2012):**

Measurement and Data source:	Actual Data for Federal Fiscal Year 2011
<p><b>Measurement:</b> 160 infants and toddlers in Puerto Rico under age one year received Part C services.</p> <p><b>Data source:</b> <i>Data collected on FFY 2012 Table 1 of Information Collection 1820-0578 (Report of Children Receiving Early Intervention Services in Accordance with Part C) and PR estimated population for 2012 from U.S. Bureau of the Census, Population Division.</i></p>	<p>160 Number of infants with IFSPs birth to 1</p> <hr style="width: 20%; margin: 5px auto;"/> <p>40,162 State population birth to 1 X 100 = 0.40%</p> <p><b>Percentage: 0.40%</b></p> <p>Puerto Rico’s percentage of infants and toddlers birth to age 1 with IFSP is 0.40%.</p>

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for (2012):**

**PERCENT OF INFANTS AND TODDLERS BIRTH TO AGE ONE WITH IFSPs COMPARED TO NATIONAL DATA**  
 SOURCE: OSEP DATA ANALYSIS SYSTEM REPORT



Puerto Rico didn't meet the FFY 2011 SPP target of 0.62%. At December 1<sup>st</sup>, 2012 there was a total of 216 children under age one (1) with IFSPs for 0.40%.

The percent of infants' birth to 1 with IFSPs has shown 0.12% decrease, as compared (.52%) for FFY 2011.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012 (2012-2013):**

***Improvement activities completed:***

1. Trainings to local programs staff to review eligibility determination criteria and processes to assure that children under age one (1) are evaluated according to Puerto Rico eligibility determination procedures and considering all of the developmental areas.
2. SPOEE (System Point of Entry and Exit) Supervisors continued developing the referral source monthly reports which allowed EIS programs to identify the sources of referrals as well as those who are determined eligible by referral source for public awareness and child find planning activities.
3. All EIS programs received information on the minimum number of children under age one (1) needed to enter the program for eligibility determination purpose in order to achieve the target for FFY 2012.

***Explanation of Progress or Slippage:***

Commonwealth attributed the slippage in their child find data to: a) revision of eligibility determination process; b) a decrease of 5,488 in the birth to one population, as compared with population in 2011; and c) policy clarification regarding appropriate exiting from Part C services when a child's family's goals are achieved.

Analysis of data for each program is conducted and if needed, improvement activities will be put in place.

Part C State Annual Performance Report (APR) for FFY 2012

Overview of the Annual Performance Report Development:

**Monitoring Priority: Effective General Supervision Part C / Child Find**

**Indicator 6:** Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**

Percent = [(# of infants and toddlers birth to 3 with IFSPs) divided by the (population of infants and toddlers birth to 3)] times 100 compared to national data.

FFY	Measurable and Rigorous Target
(2012)	2.35 % of infants and toddlers birth to 3 with IFSPs out of the population of infants and toddlers birth to 3.

**Actual Target Data for (2012):**

Measurement and Data source:	Actual Data for Federal Fiscal Year 2011
<p><b>Measurement:</b> 3, 683 infants and toddlers birth to 3 with IFSP out of 129,053 children birth to 3 in PR (as per Section 618 report).</p> <p><b>Data source:</b> Data collected on FFY 2012 Table 1 of Information Collection 1820-0578 (Report of Children Receiving Early Intervention Services in Accordance with Part C) and PR estimated population for 2012 from U.S. Bureau of the Census, Population Division.</p>	<p>3,683 infants and toddlers birth to 3 with IFSP</p> <hr/> <p>123, 565 PR estimated population birth to 3 for 2012</p> <p>x 100 = 2.98%</p> <p><b>Percentage: 2.98%</b></p>

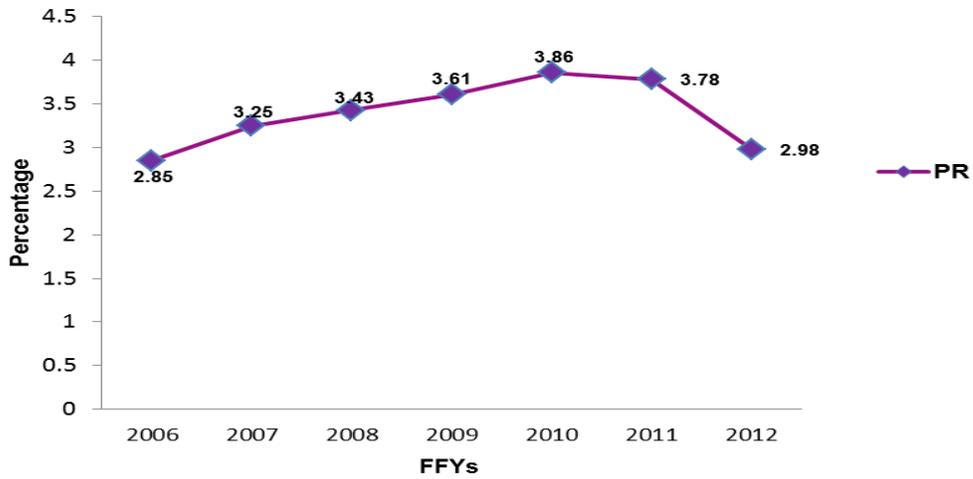
**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for (2012):**

Puerto Rico met and exceeded the FFY 2012 SPP target of 2.35%. On December 1<sup>st</sup>, 2012 there was a total of 3,683 infants and toddlers birth to three with an IFSP for 2.98%.

**Percent of infants and toddlers with IFSP for birth to 1 and 1-2 age groups, Child Count (FFY 2010 through FFY 2012)**

FFY Child Count	Birth to 1	1 to 2	2 to 3	Total
2010	(n=265) 5.1%	(n= 1,368) 26.3%	(n=3,571) 68.6%	(n=5,204/134,650) 3.86%
2011	(n=216) 4.4%	(n=1,280) 26.2%	(n=3,387) 69.3%	(n=4,883/129,053) 3.78%
2012	(n=160) 4.3%	(n=918) 24.9%	(n=3,605) 70.7%	(n=3,683/123,565) 2.98%

**PERCENT OF INFANTS AND TODDLERS BIRTH TO THREE  
WITH IFSPs COMPARED TO NATIONAL DATA**  
Source: OSEP Data Analysis System Report



**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

**Monitoring Priority: Effective General Supervision Part C / Child Find**

**Indicator 7:** Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C’s 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**

Percent = [(# of infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C’s 45-day timeline) divided by the (# of infants and toddlers with IFSPs evaluated and assessed for whom an initial IFSP meeting was required to be conducted)] times 100.

Account for untimely evaluations, assessments, and initial IFSP meetings, including the reasons for delays.

FFY	Measurable and Rigorous Target
(2012)	100% of infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C’s 45-day timeline.

**Actual Target Data for FFY 2012:**

89.3%
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**Methodology for records selection**

Puerto Rico made the records selection for the evaluation of Indicator 7 according to the IFSP date in the Early Intervention Data System. As the criteria for records selection is that the initial IFSP be developed within the reporting period, the Supervision and Monitoring Unit observed that every revised record included a developed initial IFSP. All (7) seven EIS programs in Puerto Rico were included for data collection to evaluate performance of the Commonwealth with indicator 7 through self-assessments. Lists of records were selected from the total of records of children referred to Part C from July 1, 2012 through June 30, 2013. From that list, a systematic selection of records was chosen on an interval given by the ratio of total records in the sampling frame to the identified number of records for each EIS program. The first record was chosen using a random number between 1 and the selection interval number, while the next record was identified using that selection interval. This timeline is representative of our program of the reporting period and represents the population served. For all (7) seven EIS programs Indicator 7 data were collected through self-assessments and analyzed to assess compliance. Documented exceptional family circumstances that prevented the timely an initial evaluation, initial

assessment and an initial IFSP meeting are included in the numerator and denominator for calculating actual target data.

**Infants Evaluated and Assessed and provided an Initial IFSP meeting Within Part C’s 45-day timeline:**

Number of infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C’s 45-day timeline	<b>259</b>
Number of infants and toddlers with IFSPs evaluated and assessed for whom an initial IFSP meeting was required to be conducted	<b>290</b>
Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C’s 45-day timeline (Percent = [(a) divided by (b)] times 100)	<b>89%</b>

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred in FFY 2012<sup>3</sup>:**

From a total of two hundred ninety (290) records of children included in the Indicator 7 monitoring, there were two hundred fifty-nine (259) eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C’s 45-day timeline for a 89% compliance. The remaining thirty-one (31) children had the evaluation, assessment and an initial IFSP meeting out of the Part C’s 45-day timeline due to other circumstances.

Untimely evaluations

a. Family circumstances:

There were seventeen (17) children for whom an evaluation, assessment and an initial IFSP meeting were conducted beyond Part C’s 45-day timeline due to family circumstances. These children were included in the numerator and denominator. In 11 of 17 (65%) the family cancelled (reason not specified); in 4 due to family absence (23%); in 1 (6%) the family was out of Puerto Rico and in 1 (6%) the child was sick.

b. Other circumstances:

There were thirty-one (31) untimely evaluations, assessment and an initial IFSP meeting due to other circumstances. Instances of noncompliance for other reasons were due to: nineteen (19) children had their initial IFSP meeting held beyond the 45-day timeline because the date of the meeting the service coordinators did not have contract; six (6) were due to coordination problems between the service provider and service coordinator; four (4) had an IFSP meeting more than forty- five (45) days after referral, but no documentation was found in the child’s record and two (2) had their initial IFSP meeting held beyond the 45-day timeline because the date of the meeting was the nearest available date for EIS providers.

Puerto Rico didn’t meet the target of 100.0% compliance for Indicator 7 for FFY 2012. Puerto Rico had been in compliance with the 45-days timeline, from FFY 2009 to FFY 2011. During FFY 2012 the Commonwealth had many administrative issues with the corporation contracted for the service coordination; this was a key factor for the new findings of noncompliance in this Indicator. As a result, in May 2013 the Commonwealth published a Request for Proposal for the Part C service coordination component. A new corporation was contracted and initiated in October 1, 2013.

**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

**Monitoring Priority: Effective General Supervision Part C / Effective Transition**

**Indicator 8A:** The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler’s third birthday.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**

Percent = [(# of toddlers with disabilities exiting Part C who have an IFSP with transition steps and services at least 90 days, and at the discretion of all parties not more than nine months, prior to their third birthday) divided by the (# of toddlers with disabilities exiting Part C)] times 100.

Account for untimely transition planning, including the reasons for delays.

<b>FFY</b>	<b>Measurable and Rigorous Target</b>
(2012)	100% of toddlers with disabilities exiting Part C who have an IFSP with transition steps and services at least 90 days, and at the discretion of all parties not more than nine months, prior to their third birthday.

**Actual Target Data for FFY 2012:**

100%
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Every EIS program in Puerto Rico was included for data collection through monitoring activities. Lists of records were randomly selected from total number of toddlers (24 months and older) referred to EIS program from July 1, 2012 through June 30, 2013 in all seven (7) EIS Programs. The actual target data represent review of child records of randomly selected, transitioning children in all EIS programs. Documented exceptional family circumstances that prevented the timely completion of the transition conference are included in the numerator and denominator for calculating the actual target data.

**Children Exiting Part C who Received Timely Transition Planning:**

Number of children exiting Part C who have an IFSP with transition steps and services	<b>206</b>
Number of children exiting Part C	<b>206</b>
The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the lead agency has developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday. (Percent = [(a) divided by (b)] times 100)	100%

Puerto Rico met the target for Indicator 8A. The Commonwealth maintained the 100.0% compliance in the inclusion of transition steps and services. Records of toddlers with disabilities exiting Part C for Indicators 8A were reviewed (206 records, representing all 7 EIS programs). All 7 EIS programs met the 100% target. For two (2) children the transition conference was held less than 90 days prior to the child's third birthday, since parents were not available. Those child's records are included in the numerator and denominator for calculating actual target data. One (1) child had the transition conference 74 days and the other 67 days prior the third birthday.

**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

**Monitoring Priority: Effective General Supervision Part C / Effective Transition**

**Indicator 8B:** The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler’s third birthday for potentially eligible Part B preschool services. (Transition Notification)

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**

Percent = [(# of toddlers with disabilities exiting part C where notification (consistent with any opt-out policy adopted by the State) to the SEA and the LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services) divided by the (# of toddlers with disabilities who were potentially eligible for Part B)] times 100.

Account for untimely transition planning, including the reasons for delays.

FFY	Measurable and Rigorous Target
(2012)	100% of toddlers with disabilities exiting part C where notification (consistent with any opt-out policy adopted by the State) to the SEA and the LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services.

**Actual Target Data for FFY 2012:**

100%
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Puerto Rico met the 100% target for Indicator 8B. The seven (7) EIS programs evidenced that notification to the Department of Education occurred, resulting in 100% compliance.

Every EIS program in Puerto Rico was included for data collection through monitoring activities. Lists of records were randomly selected from total number of toddlers (24 months and older) referred to EIS program from July 1, 2012 through June 30, 2013 in all seven (7) EIS Programs. The actual target data represent review of children’s records of randomly selected, transitioning children in all EIS programs. Two (2) families chose to opt out of notification, in accordance with the OSEP-approved opt out policy.

During May and June 2013 Part C received a TA from SERRC to establish referral transition procedures. As part of these procedures, will provide an electronically protected password Excel referral file to Part B by the 15<sup>th</sup> (or the next business day) of each month with the referral information of two years and seven months of age potentially eligible to Part B.

**Children Exiting Part C who Received Timely Transition Planning (Notification to LEA):**

Number of children exiting Part C and potentially eligible for Part B where the notification to the LEA occurred	<b>206</b>
Number of children exiting Part C who were potentially eligible for Part B	<b>206</b>
The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for potentially eligible Part B preschool services. (Transition Notification) (Percent = [(a) divided by (b)] times 100)	100%

**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

**Monitoring Priority: Effective General Supervision Part C / Effective Transition**

**Indicator 8C:** The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the lead agency has conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler’s third birthday for toddlers potentially eligible for Part B preschool services

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**

Percent = [(# of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties not more than nine months, prior to the toddler’s third birthday for toddlers potentially eligible for Part B) divided by the (# of toddlers with disabilities exiting Part C who were potentially eligible for Part B)] times 100.

Account for untimely transition planning, including the reasons for delays.

FFY	Measurable and Rigorous Target
(2012)	100% of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties not more than nine months, prior to the toddler’s third birthday for toddlers potentially eligible for Part B.

**Actual Target Data for FFY 2012:**

100%
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Every EIS program in Puerto Rico was included for data collection through monitoring activities. Lists of records were randomly selected from total number of toddlers (24 months and older) referred to EIS program from July 1, 2012 through June 30, 2013 in all seven (7) EIS Programs. The actual target data represent review of child records of randomly selected, transitioning children in all EIS programs. Documented exceptional family circumstances that prevented the timely completion of the transition conference are included in the numerator and denominator for calculating the actual target data.

**Children Exiting Part C who Received Timely Transition Planning (Transition Conference):**

Number of children exiting Part C and potentially eligible for Part B where the transition conference occurred	<b>206</b>
Number of children exiting Part C who were potentially eligible for Part B	<b>206</b>
The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the lead agency has conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services(Transition Conference) (Percent = [(a) divided by (b)] times 100)	100%

Puerto Rico met the target for Indicator 8C from FFY 2009 to 2012. The Commonwealth maintained the 100.0% compliance with the timely transition meeting for children potentially eligible to Part B.

Records of toddlers with disabilities exiting Part C for Indicators 8C were reviewed (206 records, representing all 7 EIS programs). All 7 EIS programs met the 100% compliance. For two (2) children the transition conference was held less than 90 days prior to the child's third birthday, since parents were not available. Those child's records are included in the numerator and denominator for calculating actual target data. One (1) child had the transition conference 74 days and the other 67 days prior the third birthday.

Part C State Annual Performance Report (APR) for FFY 2012

Overview of the Annual Performance Report Development:

**Monitoring Priority: Effective General Supervision Part C / General Supervision**

**Indicator 9:** General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

(20 U.S.C. 1416 (a)(3)(B) and 1442)

**Measurement:**  
Percent of noncompliance corrected within one year of identification:

a. noncompliance. # of findings of

b. completed as soon as possible but in no case later than one year from identification. # of corrections

Percent = [(b) divided by (a)] times 100.

**States are required to use the “Indicator C 9 Worksheet” to report data for this indicator (see Attachment 1).**

FFY	Measurable and Rigorous Target
FFY 2012	100% of findings (including monitoring, complaints, hearings, etc.) were corrected as soon as possible but in no case later than one year of identification.

Actual Target Data for FFY 2012:

INDICATOR C-9 WORKSHEET

Indicator/Indicator Clusters	General Supervision System Components	# of EIS Programs Issued Findings in FFY 2011 (7/1/11 through 6/30/12)	(a) # of Findings of noncompliance identified in FFY 2011 (7/1/11 through 6/30/12)	(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification
1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	4	7	7
	Dispute Resolution: Complaints, Hearings	2	5	5
2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	-
	Dispute Resolution: Complaints, Hearings	0	-	-

**APR Template – Part C (1)**

**PUERTO RICO**  
State

3. Percent of infants and toddlers with IFSPs who demonstrate improved outcomes	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	7	0	-
	Dispute Resolution: Complaints, Hearings	0	0	-
4. Percent of families participating in Part C who report that early intervention services have helped the family	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	-
	Dispute Resolution: Complaints, Hearings	0	-	-
5. Percent of infants and toddlers birth to 1 with IFSPs	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	-
6. Percent of infants and toddlers birth to 3 with IFSPs	Dispute Resolution: Complaints, Hearings	0	0	-
7. Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	-
	Dispute Resolution: Complaints, Hearings	0	0	-
8. The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	-
A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;	Dispute Resolution: Complaints, Hearings	0	0	-

**APR Template – Part C (1)**

**PUERTO RICO**  
State

8. The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	-
B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and	Dispute Resolution: Complaints, Hearings	0	0	-
8. The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	-
C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.	Dispute Resolution: Complaints, Hearings	0	0	-
OTHER AREAS OF NONCOMPLIANCE:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other			
	Dispute Resolution: Complaints, Hearings			
OTHER AREAS OF NONCOMPLIANCE:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other			
	Dispute Resolution: Complaints, Hearings			
<b>Sum the numbers down Column a and Column b</b>			12	12
<b>Percent of noncompliance corrected within one year of identification = (column (b) sum divided by column (a) sum) times 100.</b>			<b>(b) / (a) X 100 =</b>	<b>100.00%</b>

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that Occurred for FFY 2012<sup>4</sup>:**

**Note: For this indicator, report data on the correction of findings of noncompliance the State identified in FFY 2011 (July 1, 2011 through June 30, 2012) and verified as corrected as soon as possible and in no case later than one year from identification.**

**Timely Correction of FFY 2011 Findings of Noncompliance (corrected within one year from identification of the noncompliance):**

Number of findings of noncompliance the State identified in FFY 2011 (the period from July 1, 2011, through June 30, 2012) (Sum of Column a on the Indicator C9 Worksheet)	7
Number of findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS programs of the finding) (Sum of Column b on the Indicator C9 Worksheet)	7
Number of findings <u>not</u> verified as corrected within one year [(1) minus (2)]	0

**Correction of FFY 2011 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:**

Number of FFY 2011 findings not timely corrected (same as the number from (3) above)	0
Number of FFY 2011 findings the State has verified as corrected beyond the one-year timeline (“subsequent correction”)	0
Number of FFY 2011 findings <u>not</u> yet verified as corrected [(4) minus (5)]	0

**Verification of Correction for findings of noncompliance identified in FFY 2011 (either timely or subsequent):**

Seven (7) children received untimely early intervention services during FFY 2011 for reasons other than exceptional family circumstances. Children belonged to four (4) different EIS programs and all of them received the services, although late.

In the first EIS program three (3) children did not receive the service within the 30-day timeline from parents’ consent: two (2) because the service provider was absent on the date of the initial service and one (1) child because the coordinator established the day of initiation beyond the 30 days’ timeline. Out of the two (2) children who didn’t received timely services due to provider’s absence, one (1) family refused the services after that situation; the other the child exited due to age before reschedules the services. The other child received the services three (3) days later.

In the second EIS program two (2) children did not receive the service within the 30-day timely from parents’ consent: for one (1) child the corporation didn’t provide timely service; for the other child the service provider renounced. The service provider didn’t include this service in the monthly report, then, the USM revised the bill of the corporation and verify that this provider didn’t provide services to this child during that month. This child was not rescheduled since the child exited the program after three unsuccessful contacts. For the other child the service provider renounces and other provider was designated and the service was provider 105 days later.

In the third EIS program one (1) child did not receive the service within the 30-day timeline from parents’ consent because DOH provider cancelled due to transportation problem. This child received the service five (5) days later.

In the fourth EIS program one (1) child received the untimely service because the coordinator didn’t delivered on time the IFSP to the Corporation for the initiation of services. This child receives twenty-three (23) days later.

**Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2011 (including any revisions to general supervision procedures, technical assistance provided and/or any enforcement actions that were taken):**

The Commonwealth verified that each EIS program with noncompliance was notified on September, 2012 (FFY 2011 data): (1) is correctly implementing 34 CFR §§303.340(c), 303.342(e), and 303.344(f)(1) (i.e., achieved 100% compliance) based on updated data revised in June 2012 collected through self-assessments from November 2011 to March 2012; and (2) has initiated services, although late, for any

child whose services were not initiated in a timely manner, consistent with OSEP Memorandum 09-02, dated October 17, 2008 (OSEP Memo 09-02). The Commonwealth met these requirements for findings identified in FFY 2011. The Commonwealth demonstrated that it has corrected the seven (7) findings of noncompliance identified in FFY 2011.

Updated data from quarterly self-assessments were analyzed to determine if the four (4) EIS programs in noncompliance for FFY 2011 were correctly implementing the specific regulatory requirements for Indicator I.

Specific actions for the prompt identification of untimely services by providers include: a) technical assistance by USM was provided to seven (7) Pediatric Center's Directors and Administrators, PEES Supervisors and Coordinators and Directors of Corporation for service provision; b) Memos were sent in October 2012 to the seven (7) Pediatric Center's Directors and Administrators, PEES Supervisors and Coordinators and Directors of Corporation for service provision with information on the importance of 100% compliance with Indicator 1 and their responsibilities to address identify issues and resolved promptly.

**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

**Monitoring Priority: Effective General Supervision Part C / General Supervision**

**Indicator 13:** Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:** Percent = [(2.1(a)(i) + 2.1(b)(i)) divided by 2.1] times 100.

FFY	Measurable and Rigorous Target
(2012)	<p><b>Measurement:</b> No mediations held during FFY 2011 reporting period.</p> <p><b>Data source:</b> Data collected on FFY 2012 Table 4 of Information Collection 1820-0678 (Report of Dispute Resolution Under Part C of the Individuals with Disabilities Education Act).</p>

**Actual Target Data for FFY 2012 (2012-2013):**

No mediations were held during FFY 2012 reporting period.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2012 (2012-2013):**

As part of the written complaints and/or due process hearings, parents are offered the opportunity of mediation as an alternative means for dispute resolution.

**Improvement activities completed:**

Quarterly monitoring of mediation requests to OOPD (Office of the Ombudsman for Persons with Disabilities).

**Part C State Annual Performance Report (APR) for FFY 2012**

**Overview of the Annual Performance Report Development:**

**Monitoring Priority: Effective General Supervision Part C / General Supervision**

**Indicator 14:** State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:** State reported data, including 618 data, State performance plan, and annual performance reports, are:

- a. Submitted on or before due dates (February 1 for child count, including race and ethnicity, settings and November 1 for exiting, personnel, dispute resolution); and
- b. Accurate, including covering the correct year and following the correct measurement.

As stated in the Indicator Measurement Table, States may, but are not required, to report data for this indicator. OSEP will use the Indicator 14 Rubric to calculate the State’s data for this indicator. States will have an opportunity to review and respond to OSEP’s calculation of the State’s data.

FFY	Measurable and Rigorous Target
(2012)	100%

**Actual Target Data for (2012):**

100%

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for (2012):**

Activities completed:

- a. Random sample selection for monitoring assures that data reflects performance and compliance of EIS local programs and represents the population of children served.
- b. 618 data desk audit to identify duplicates and assure data accuracy.
- c. Timely submission of 618 data on *Emaps* and SPP/APR FFY 2012 as required.
- d. Attending on "*Emaps* IDEA Part C Webinars".
- e. Participated on OSEP TA conferences calls or other TA from SERRC and ECO Center through State visits and webinars to improve the quality of services and infants and toddlers outcomes.

# APR Template – Part C (1)

**PUERTO RICO**

State

## Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for (2012)

Indicators	Activity	Timelines	Resources	Justification
1,7,8,9	If timeliness or compliance for Indicators 1, 7,8 and 9 is not achieved, rigorous monitoring actions will be implemented including requiring improvement plans for providers or coordinators out of compliance.	Ongoing	Part C Evaluator; Part C Coordinator; SERRC	Need to enforce the 100% compliance of Indicators 1, 7, 8 and 9.
	Provide ongoing training, technical assistance, periodic consultation, regular meetings and phone calls.		Part C Evaluator; Part C Coordinator	For the prompt identification of noncompliance.
	On-site visits and self-assessments quarterly for EIS programs identified in noncompliance and semi-annual monitoring activities for EIS programs in compliance to verify the maintenance of 100% achieved.		Part C Evaluator	To assure identification and correction of noncompliance as soon as possible but in no case later than one year from identification.
2	Provide ongoing training in the settings categories (Table 2 Child Count) Part C; provide orientation to the service coordinators about documentation of any justifications for not providing a particular early intervention services in the natural environment must be made by the IFSP team and based on the child outcome identified by the IFSP team.	Ongoing	Part C Evaluator; Part C Coordinator	Assure services in the natural environments.
3	Develop manual of policies and procedures for Child Outcomes Measurement System.	May 2013- August 2013	Part C Evaluator; Part C Coordinator; PR COSF Expert	To assurance valid, reliable and quality data.
	Provide training to providers on COS form with an embedded decision tree to support consistency in ratings.		Part C Evaluator; Part C Coordinator; PR COSF Expert	To increase the data quality in COS ratings for progress reporting.
	Add language to provider's contract to assurance that an exit COS form is completed for every child exiting Part C before age 3 and served at least six months.		Part C Evaluator; Part C Coordinator; PR COSF Expert	To reduce missing data and increasing data quality.

Indicators	Activity	Timelines	Resources	Justification
4	Train intake/service coordinators on: providing information from eligibility to transition or exit from the program to families regarding the outcomes in Indicator 4; the importance in obtain the family input regarding outcomes in Indicator 4.	Ongoing	Part C Evaluator; Part C Coordinator	Need to focus on building knowledge of service providers, intake coordinators and service coordinators to assist families.
	Monitoring the number and proportion of surveys completed quarterly and follow up.	Ongoing	Part C Evaluator	To increase the number of completed family surveys.
5	Revise the established criteria and procedures for eligibility determination of infants under age one at all EIS programs.	Ongoing	Part C Evaluator; Part C Coordinator; SERRC	Assure that the criteria and procedures for eligibility determination are being used.
14	Continue monitoring of the data collection to assure consistency and data reliability.	Ongoing	Part C Coordinator and Evaluator, NECTAC, SERRC and the ECO Center	Increase capacity of programs and Part C program overall to collect quality data.