

Introduction to the State Performance Plan (SPP)/Annual Performance Report (APR)

Executive Summary:

The lead agency for Puerto Rico Part C is the Puerto Rico Department of Health. The program is located under the Maternal, Adolescent, and Child Division and has a total of seven regional offices island-wide. Regional staff is led by a regional supervisor who is responsible for the supervision of intake and service coordinators, service providers and other private or community based corporations that provide services to eligible children. PRDH uses a single line of responsibility for the Program: the Part C Coordinator, the Evaluator and a Data Manager to ensure compliance with all IDEA Part C regulations. Technical assistance for the program is led by a consultant who is a specialist in developmental pediatrics. The consultant works closely with the Supervision and Monitoring Unit and addresses identified issues related with early intervention processes, development of functional outcomes, eligibility determinations and ongoing assessments. Trainings are tailored according to monitoring findings using NCSI/ECTA/DaSY and ECO Center developed tools. Some of the training topics covered by the TA leader include: child assessment, evaluation, eligibility criteria, child outcomes measurement, and functional outcomes. Puerto Rico EIP team is working to improve the performance of the system, specially with indicators 1 and 8. The SSIP will be of special help in strengthen the system infrastructure and will help the system to comply with all the indicators.

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General Supervision System:

The systems that are in place to ensure that IDEA Part C requirements are met, e.g., monitoring systems, dispute resolution systems.

The lead agency for Puerto Rico Part C is the Puerto Rico Department of Health. The program is located under the Maternal, Adolescent, and Child Division and has a total of seven regional offices island-wide. Regional staff is led by a regional supervisor who is responsible for the supervision of intake and service coordinators, service providers and other private or community based corporations that provide services to eligible children. PRDH uses a single line of responsibility for the Program: the Part C Coordinator, the Evaluator and a Data Manager to ensure compliance with all IDEA Part C regulations. The Supervision and Monitoring Unit (SMU) performs on-site visits to all EIS regional programs for monitoring and performs analysis on data submitted by the regional supervisors on an ongoing basis. Regional programs' service providers are monitored using OSEP indicators data, monthly reports, progress notes, and financial data such as professional services invoices. Findings of all monitoring activities are discussed in the supervisors' monthly meetings and instructions for correction are provided using official memos. PRDH corrects findings of non compliance withing a year of notification according to OSEP memo 09-02. Due to modifications to the Department of Health Web Site, the reported link for FFY 2012 performance did not work appropriately; the issue was corrected and the new link is the following: <http://www.salud.gov.pr/Dept-de-Salud/Pages/Unidades-Operacionales/Secretaria-Auxiliar-de-Salud-Familiar-y-Servicios-Integrados/Division-Madres-Ninos-y-Adolescentes.aspx#temprana>.

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Technical Assistance System:

The mechanisms that the State has in place to ensure the timely delivery of high quality, evidenced based technical assistance and support to early intervention service (EIS) programs.

Technical assistance for the program is led by a consultant who is a specialist in developmental pediatrics. The consultant works closely with the Supervision and Monitoring Unit and addresses identified issues related with early intervention processes, development of functional outcomes, eligibility determinations and ongoing assessments. Trainings are tailored according to monitoring findings using NCSI/ECTA/DaSY and ECO Center developed tools. Some of the training topics covered by the TA leader include: child assessment, evaluation, eligibility criteria, child outcomes measurement, and functional outcomes. The consultant has expertise in child development and has knowledge of the EI law, norms and procedures, ECTA guidelines and Child Outcomes measurement.

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Professional Development System:

The mechanisms the State has in place to ensure that service providers are effectively providing services that improve results for infants and toddlers with disabilities and their families.

The PR EIP has a Developmental Pediatrician on board in charge of training providers in the delivery of services in Natural Environments (NE) to improve results for infants and toddlers with disabilities and their families. Trainings are provided on-site in each EI regional Programs. Supervisors meet monthly with the Part C coordinator to discuss providers' performance in NE, appropriate COSF ratings, and the proper use of the decision tree to improve outcomes for children and families. The Developmental Pediatrician gathers their input and coordinates meetings at the regional levels to provide technical assistance. and re-training to ensure that providers have the skills to effectively provide services that improve results for infants and toddlers with disabilities and their families. As part of the State Systemic Improvement Plan, PR EIP will strengthen collaboration with the Puerto Rico Developmental Deficiencies Institute to serve as the early intervention system scientific partner. With this collaboration, the system personnel will receive the latest information in evidence based practices that can be translated in strategies to ensure high quality services for the children and families.

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Stakeholder Involvement: apply this to all Part C results indicators

The mechanism for soliciting broad stakeholder input on targets in the SPP, including revisions to targets.

The Puerto Rico State Interagency Coordination Council (SICC) is the group that brings together the main PR EIS stakeholders. During 2015, the SICC worked together in 8 meetings to provide input for the targets and other program issues. In January 21st, 2015 the main topic included in the agenda was the identification of achievable strategies for SSIP Phase I and how these will affect the improvement in results of the children and families. The discussion of the SSIP process and requirements, as well as the selection of the state SIMR with the SICC members, guided the development of the SSIP Phase I. During the following meetings, the SICC made an assessment of the system infrastructure to identify possible barriers that can affect the implementation of the identified improvement strategies. The infrastructure analysis was followed by the development of the theory of action based on a data analysis process facilitated by state leaders. Some of the barriers identified as part of the initial analysis with the stakeholders were addressed to facilitate the development of the improvement plan. Some of the activities implemented during the year were hiring data entry personnel for each one of the service regions, and the appoint of a data manager, who has vast experience in service coordination, data collection and reporting, as part of the central level team. These changes strengthened our infrastructure and facilitated data collection and reporting, ensuring accountability and allowing the SMU to develop a more efficient monitoring process. The Puerto Rico stakeholder group is composed of representatives of Developmental Delays Institute, the Families and Children's Affairs Administration, the Association of Parents of Children with Disabilities, the Health Services Administration, the Mental Health Services Administration, Health Insurance Commissioner, Centro Margarita (Service CBO), NY Foundling, the Office of the Ombudsman for Persons with Disabilities, SER de Puerto Rico (Service CBO), Medicaid, Department of Education, and Army Educational & Developmental Intervention Services. One important addition to the SICC was the identification of 4 families that agreed to be part of the Council as family representatives. As a result of the collaboration for the SSIP development, the SICC is having a more active guidance role in all aspects of Part C implementation.

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Reporting to the Public:

How and where the State reported to the public on the FFY 2012 performance of each EIS Program or Provider located in the State on the targets in the SPP/APR as soon as practicable, but no later than 120 days following the State's submission of its FFY 2012 APR, as required by 34 CFR §300.602(b)(1)(i)(A); and a description of where, on its Web site, a complete copy of the State's SPP, including any revision if the State has revised the SPP that it submitted with its FFY 2012 APR in 2014, is available.

Puerto Rico will make its FFY 2014 APR available through public means, including posting on the website of the Puerto Rico Department of Health and distribution through public agencies, including the Puerto Rico Department of Education, Early Head Start/Head Start Programs, Department of the Family, Office of the Ombudsman for Persons with Disabilities, Developmental Disabilities Council, University Center of Excellence in Developmental Disabilities, and the Parents Training Center. Puerto Rico Annual Performance Report will be available in English and a detailed document with the results for Indicators one (1) through eight (8) by Early Intervention Programs (EIP) compared to overall Puerto Rico performance will be developed in Spanish and posted on the Department of Health website (*Public Reporting for FFY 2013*): <http://www.salud.gov.pr/PARTCPROGRAM/Pages/default.aspx>

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No APR attachments found.		

Actions required in FFY 2013 response

None

Indicator 1: Timely provision of services
Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Target			100%	100%	100%	100%	100%	100%	100%	100%
Data		80.50%	93.00%	93.70%	96.00%	98.20%	97.10%	97.00%	99.30%	96.69%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%

Indicator 1: Timely provision of services

FFY 2014 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

FFY 2014 SPP/APR Data

Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Total number of infants and toddlers with IFSPs	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
313	319	96.69%	100%	99.37%	Did Not Meet Target	No Slippage

* FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

Number of documented delays attributable to exceptional family circumstances (this number will be added to the Number of infants and toddlers with IFSPs who receive their early intervention services on their IFSPs in a timely manner)	4
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What is the source of the data provided for this indicator?

- State monitoring
- State database

Describe the method used to select EIS programs for monitoring.

Puerto Rico Part C team selected the records for the evaluation of Indicator 1 according to the date of the last IFSP recorded in the EI Data System. The inclusion criterion was that an IFSP had to be developed between July 1st, 2014 and June 30th, 2015.

All (7) seven EI programs in Puerto Rico were included for data collection. Each one of the regional supervisors were required to hand in a list of records for the selection of a random sample. From that list, records were chosen systematically on an interval given by the ratio of total records in the sampling frame and the number of records of each program. The first record was chosen using a random number between 1 and the selection interval number, while the next record was identified using that selection interval. During the past fiscal year, the monitoring and supervision unit made an effort to increase the sample of charts to be monitored, to assure an appropriate implementation of procedures and also, correction of non compliance issues. For all regional programs, data were collected through onsite monitoring activities conducted by the SMU, explaining all the process and its importance to the regional supervisors. After the on-site data collection is made, the SMU performs the analysis and, if necessary, calls the regional supervisors for further information and clarifications. Documented exceptional family circumstances that prevented a timely provision of services are included in the numerator and denominator for calculating the data.

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

Provide additional information about this indicator (optional)

Indicator 1: Timely provision of services

Required Actions from FFY 2013

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2013 response

None

Responses to actions required in FFY 2013 response, **not including correction of findings**

During FY 2013, and according to documentation of the Supervision and Monitoring Unit, non-compliance with this indicator occurred in two regional programs. The situation was included in their local determination letter and the programs were required to develop an improvement plan to assure future compliance. In both regions, children and families received the services and the regional program made provisions to strengthen their monitoring process to assure timely service provision. After the identification of the noncompliance cases, the monitoring and supervision staff implemented an action plan for onsite visits and technical assistance using personnel of 100% compliance programs as mentors. Another important action that the system made was an increase in the number of records selected for revision in each one of the regions and the schedule of additional monitoring visits to each region. These changes will allow early identification and timely correction of noncompliances.

Indicator 1: Timely provision of services
Correction of Previous Findings of Noncompliance

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2013

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
5	5	0	0

FFY 2013 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

The state monitoring and supervision staff included the regions of noncompliance in a direct on site monitoring process. The reasons for the delay in service provision were included as technical assistance topics discussed with the regional supervisors in their monthly meetings to strenghten compliance with the indicator. Supervisors of other regions that fully comply with the indicator acted as mentors and provided peer support to those in need of improvement.

Describe how the State verified that each individual case of noncompliance was corrected

After the identification of noncompliance, the monitoring and supervision staff implemented an action plan for onsite visits and technical assistance using personnel of 100% compliance programs as mentors. Another important action that the system made was an increase in the number of records selected for monitoring in each one of the regions and scheduled additional monitoring visits to each region. These changes will allow early identification and timely correction of noncompliances.

Correction of Findings of Noncompliance Identified Prior to FFY 2013

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2013 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

Indicator 2: Services in Natural Environments

Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Target ≥			99.20%	99.30%	99.40%	99.50%	99.50%	99.70%	99.70%	99.81%
Data		99.70%	99.70%	99.90%	99.00%	99.80%	99.90%	100%	98.40%	99.81%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target ≥	99.81%	99.82%	99.82%	99.83%	99.83%

Key:  Blue – Data Update

Targets: Description of Stakeholder Input

Stakeholders inquired about the reason that the State had for not aspiring to a 100% result for Indicator 2; staff members explained that OSEP does not expect the target to be 100% considering the importance of respecting family decision about the provision of services in the Natural Environment (NE). If the family has announced any circumstance that makes impossible to provide services in NE, the State must consider and establish with family a reasonable period to reestablish services in NE. It is the State's responsibility to emphasize to the family that the Natural Environment is the best scenario for the child to learn and progress. Stakeholders understood the explanation of the State.

Indicator 2: Services in Natural Environments

FFY 2014 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2014-15 Child Count/Educational Environment Data Groups	7/2/2015	Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	3,769	
SY 2014-15 Child Count/Educational Environment Data Groups	7/2/2015	Total number of infants and toddlers with IFSPs	3,772	

FFY 2014 SPP/APR Data

Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Total number of infants and toddlers with IFSPs	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
3,769	3,772	99.81%	99.81%	99.92%	Met Target	No Slippage

* FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

 Provide additional information about this indicator (optional)

Indicator 2: Services in Natural Environments

Required Actions from FFY 2013

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2013 response

Responses to actions required in FFY 2013 response

Indicator 3: Early Childhood Outcomes

Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

FFY 2014 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Does your State's Part C eligibility criteria include infants and toddlers who are at risk of having substantial developmental delays (or "at-risk infants and toddlers") under IDEA section 632(5)(B)(i)? No

Historical Data

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
A1	2011	Target ≥						54.40%	54.50%	54.50%	42.00%	43.79%
		Data					54.40%	45.20%	33.30%	39.40%	39.20%	43.79%
A2	2011	Target ≥						68.30%	68.40%	68.50%	55.00%	56.99%
		Data					68.30%	53.50%	43.80%	53.90%	46.50%	56.99%
B1	2011	Target ≥						47.90%	48.00%	48.70%	36.00%	46.63%
		Data					47.90%	39.00%	43.80%	32.50%	31.30%	46.63%
B2	2011	Target ≥						33.50%	33.60%	33.70%	22.00%	34.68%
		Data					33.50%	21.30%	16.60%	18.70%	12.60%	34.68%
C1	2011	Target ≥						40.20%	40.30%	40.40%	34.00%	38.02%
		Data					40.20%	35.90%	32.40%	28.30%	29.00%	38.02%
C2	2011	Target ≥						50.90%	51.00%	51.10%	45.00%	51.35%
		Data					50.90%	41.30%	41.70%	43.90%	37.70%	51.35%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target A1 ≥	43.80%	43.81%	43.82%	43.83%	43.84%
Target A2 ≥	57.00%	57.01%	57.02%	57.03%	
Target B1 ≥	46.64%	46.65%	46.66%	46.67%	46.68%
Target B2 ≥	34.69%	34.70%	34.71%	34.72%	34.73%
Target C1 ≥	38.03%	38.04%	38.05%	38.06%	38.07%
Target C2 ≥	51.36%	51.37%	51.38%	51.39%	51.40%

Key:  Blue – Data Update

Targets: Description of Stakeholder Input

The State explained to stakeholders about data analysis based on past year's results. Since stakeholders agreed in the past that the lack of Internet and data entry staff make it difficult to improve data quality, the State explained that some activities were implemented in order to improve data quality and meet the targets. There is now data entry personnel in each region, regional nurses provide support in regional self-monitoring activities and a simple tool was developed for data collection and SMU monitoring activities. The staff received trainings on how to collect more accurate data. After these strategies were implemented, the State was able to collect more data on early childhood outcomes when compared to the previous data collection period. Stakeholders agreed with the information the State provided.

Indicator 3: Early Childhood Outcomes

FFY 2014 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

FFY 2014 SPP/APR Data

Number of infants and toddlers with IFSPs assessed	2799.00
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Outcome A: Positive social-emotional skills (including social relationships)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	84.00	3.00%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	416.00	14.86%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	165.00	5.89%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	792.00	28.30%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	1342.00	47.95%

	Numerator	Denominator	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
A1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program $(c+d)/(a+b+c+d)$.	957.00	1457.00	43.79%	43.80%	65.68%	Met Target	No Slippage
A2. The percent of infants and toddlers who were functioning within age expectations in Outcome A by the time they turned 3 years of age or exited the program $(d+e)/(a+b+c+d+e)$.	2134.00	2799.00	56.99%	57.00%	76.24%	Met Target	No Slippage

* FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

Outcome B. Acquisition and use of knowledge and skills (including early language/ communication)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	74.00	2.64%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	675.00	24.12%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	582.00	20.79%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	1261.00	45.05%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	207.00	7.40%

	Numerator	Denominator	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
B1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the	1843.00	2592.00	46.63%	46.64%	71.10%	Met Target	No Slippage

FFY 2014 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

	Numerator	Denominator	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
program (c+d)/(a+b+c+d).							
B2. The percent of infants and toddlers who were functioning within age expectations in Outcome B by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	1468.00	2799.00	34.68%	34.69%	52.45%	Met Target	No Slippage

* FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

Outcome C: Use of appropriate behaviors to meet their needs

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	79.00	2.82%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	525.00	18.76%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	187.00	6.68%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	846.00	30.23%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	1162.00	41.51%

	Numerator	Denominator	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
C1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).	1033.00	1637.00	38.02%	38.03%	63.10%	Met Target	No Slippage
C2. The percent of infants and toddlers who were functioning within age expectations in Outcome C by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	2008.00	2799.00	51.35%	51.36%	71.74%	Met Target	No Slippage

* FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

Was sampling used? No

Did you use the Early Childhood Outcomes Center (ECO) Child Outcomes Summary Form (COSF)? Yes

Provide additional information about this indicator (optional)

Indicator 3: Early Childhood Outcomes

Required Actions from FFY 2013

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2013 response

None

Responses to actions required in FFY 2013 response

Indicator 4: Family Involvement
Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
A	2006	Target ≥					85.90%	86.90%	87.90%	88.00%	90.10%	90.46%
		Data			60.00%	87.00%	97.70%	94.00%	96.00%	95.60%	92.92%	90.46%
B	2006	Target ≥					83.20%	84.20%	85.20%	85.30%	91.10%	89.06%
		Data			57.00%	86.00%	96.20%	93.40%	95.00%	95.00%	92.41%	89.06%
C	2006	Target ≥					89.20%	90.20%	91.20%	91.30%	93.20%	94.39%
		Data			79.00%	91.00%	98.90%	95.80%	97.00%	98.00%	95.95%	94.39%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target A ≥	90.47%	90.48%	90.49%	90.50%	94.51%
Target B ≥	89.07%	89.08%	89.09%	89.10%	89.11%
Target C ≥	94.40%	94.41%	94.42%	94.43%	94.44%

Key: Blue – Data Update

Targets: Description of Stakeholder Input

Stakeholders and ICC recommended:

- o To revise family survey items and translation to Spanish, to ensure that family understands the purpose of the each item in order to improve family outcomes results.
- o Determine the best time for survey administration (Child exit from Program or transition conference).
- o Establish that families who respond the survey should have at least 6 months receiving services by the Program.

Indicator 4: Family Involvement

FFY 2014 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

FFY 2014 SPP/APR Data

Number of respondent families participating in Part C	744.00
A1. Number of respondent families participating in Part C who report that early intervention services have helped the family know their rights	715.00
A2. Number of responses to the question of whether early intervention services have helped the family know their rights	743.00
B1. Number of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	714.00
B2. Number of responses to the question of whether early intervention services have helped the family effectively communicate their children's needs	742.00
C1. Number of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn	730.00
C2. Number of responses to the question of whether early intervention services have helped the family help their children develop and learn	744.00

* FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
A. Percent of families participating in Part C who report that early intervention services have helped the family know their rights	90.46%	90.47%	96.23%	Met Target	No Slippage
B. Percent of families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	89.06%	89.07%	96.23%	Met Target	No Slippage
C. Percent of families participating in Part C who report that early intervention services have helped the family help their children develop and learn	94.39%	94.40%	98.12%	Met Target	No Slippage

* FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

Describe how the State has ensured that any response data are valid and reliable, including how the data represent the demographics of the State.

Using a 22 item scale of NCSEAM Survey this State has collected the data through face-to-face interviews or through a self-administered questionnaire for families who opted to complete the survey anonymously.

Every family with a child receiving Part C services for at least six (6) months at the IFSP revision meeting had the opportunity to partake in the survey. The family survey response group represents the population of children that were active in the Early Intervention Program (EIP) from July 1, 2014 to June 30, 2015 in every local program, by age group, eligibility criteria, and by geographic location. Surveys were returned from 7 EIPs throughout Puerto Rico. In total, 744 surveys were returned.

Puerto Rico has selected to apply the standards recommended by NCSEAM as a way of obtaining the percent to be reported for Indicators 4a, 4b, and 4c. To establish a recommended standard, NCSEAM convened a group of nationally representative stakeholders, including parents of children with disabilities, state directors of special education, state early intervention coordinators, district and program personnel, advocates, attorneys, and community representatives. Participants were invited to examine a set of items

from the IFS, laid out in their calibration order. The items towards the bottom of the scale, having lower calibrations, are items that families tend to agree with most.

The items towards the top of the scale, having higher calibrations, are items that families tend to agree with least. Because of the robust structure of the scale, a respondent who agrees with a given statement will have a very high likelihood of agreeing, or agreeing even more strongly, with all the items below it on the scale.

Was sampling used? No

Was a collection tool used? Yes

Is it a new or revised collection tool? No



Yes, the data accurately represent the demographics of the State



No, the data does not accurately represent the demographics of the State

Provide additional information about this indicator (optional)

Indicator 4: Family Involvement

Required Actions from FFY 2013

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2013 response

Responses to actions required in FFY 2013 response, **not including correction of findings**

Indicator 5: Child Find (Birth to One)
Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Target ≥			0.60%	0.70%	0.50%	0.55%	0.60%	0.61%	0.62%	0.48%
Data		0.56%	0.61%	0.63%	0.55%	0.57%	0.59%	0.52%	0.40%	0.49%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target ≥	0.49%	0.51%	0.53%	0.55%	0.57%

Key: Blue – Data Update

Targets: Description of Stakeholder Input

Stakeholders and ICC recommended for indicator 5 and 6:

- To revise strategies for public awareness including: WIC, hospital nurseries, NICU, Pediatricians offices and included EIP nurses as resources for hospitals and pediatric offices.
- In December 2014 a law was approved in Puerto Rico that enforces the promotion of the EIP by hospital and medical facilities in Puerto Rico. Due to this, a strategic plan was developed to improve public awareness and the child find system.
- The PRDOH developed a brochure with a description of EIP services, location and the process from referral to IFSP and transition to preschool services.

Indicator 5: Child Find (Birth to One)

FFY 2014 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2014-15 Child Count/Educational Environment Data Groups	7/2/2015	Number of infants and toddlers birth to 1 with IFSPs	167	null
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2013	4/3/2014	Population of infants and toddlers birth to 1	null	37,152

Explanation of Alternate Data

The number of infants and toddlers birth to 1 corresponds to the US Census data for Puerto Rico. In order to increase timely identification of children with developmental delays, public policy was approved (State Law 200) to increase public awareness of the PR Early Intervention Program *Avanzando Juntos* among hospitals, health providers and other agencies. The law required every hospital or health service provider to bring information of the program services to the public. As part of the implementation of the law, a steering committee was convened and worked a Strategic Plan for the implementation. Some of the stakeholders and partners collaborating with the implementation of the law are: Puerto Rico Chapter of the American Academy of Paediatrics, Puerto Rico College of Physicians, WIC, Government Health Insurance Administration, Developmental Delays Institute, among others. The committee agreed to disseminate child development data designed by Learn the signs initiative, and to have other early intervention program contact information available for families.

FFY 2014 SPP/APR Data

Number of infants and toddlers birth to 1 with IFSPs	Population of infants and toddlers birth to 1	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
167	37,152	0.49%	0.49%	0.45%	Did Not Meet Target	No Slippage

* FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

Provide additional information about this indicator (optional)

Indicator 5: Child Find (Birth to One)

Required Actions from FFY 2013

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2013 response

Responses to actions required in FFY 2013 response

Indicator 6: Child Find (Birth to Three)

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Target ≥			2.05%	2.10%	2.15%	2.20%	2.25%	2.26%	2.35%	3.08%
Data		2.56%	2.85%	3.25%	3.43%	3.61%	3.86%	3.78%	2.98%	3.09%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target ≥	3.09%	3.10%	3.11%	3.12%	3.13%

Key: Blue – Data Update

Targets: Description of Stakeholder Input

Stakeholders and ICC recommended for indicator 5 and 6:

- To revise strategies for public awareness including: WIC, hospital nurseries, NICU, Pediatricians offices and included EIP nurses as resources for hospitals and pediatric offices.
- In December 2014 a law was approved in Puerto Rico that enforces the promotion of the EIP by hospital and medical facilities in Puerto Rico. Due to this, a strategic plan was developed to improve public awareness and the child find system.
- The PRDOH developed a brochure with a description of EIP services, location and the process from referral to IFSP and transition to preschool services.

Indicator 6: Child Find (Birth to Three)

FFY 2014 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2014-15 Child Count/Educational Environment Data Groups	7/2/2015	Number of infants and toddlers birth to 3 with IFSPs	3,772	
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2013	7/2/2015	Population of infants and toddlers birth to 3	null	114488

Explanation of Alternate Data

The population of infants and toddlers birth to 3 comes from the US Cesus population for Puerto Rico.

FFY 2014 SPP/APR Data

Number of infants and toddlers birth to 3 with IFSPs	Population of infants and toddlers birth to 3	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
3,772	114,488	3.09%	3.09%	3.29%	Met Target	No Slippage

* FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

 Provide additional information about this indicator (optional)

Indicator 6: Child Find (Birth to Three)

Required Actions from FFY 2013

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2013 response

Responses to actions required in FFY 2013 response

Indicator 7: 45-day timeline

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Target			100%	100%	100%	100%	100%	100%	100%	100%
Data		86.80%	97.40%	98.40%	93.40%	100%	100%	100%	89.30%	97.35%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%

Indicator 7: 45-day timeline

FFY 2014 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2014 SPP/APR Data

Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline	Number of eligible infants and toddlers evaluated and assessed for whom an initial IFSP meeting was required to be conducted	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
305	318	97.35%	100%	99.37%	Did Not Meet Target	No Slippage

* FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

Number of documented delays attributable to exceptional family circumstances (this number will be added to the Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline)	11
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What is the source of the data provided for this indicator?

- State monitoring
- State database

Describe the method used to select EIS programs for monitoring.

Puerto Rico Part C team selected the records for the evaluation of Indicator 7 according to the date of referral recorded in the EI Data System. The inclusion criteria were that the child had to be referred to Part C between July 1st, 2014 and June 30th, 2015, and an initial IFSP had to be developed within that period.

All (7) seven EI programs in Puerto Rico were included for data collection. Each one of the regional supervisors were required to hand in a list of active records for the selection of a random sample. From that list, records were chosen systematically on an interval given by the ratio of total records in the sampling frame and the number of records of each program. The first record was chosen using a random number between 1 and the selection interval number, while the next record was identified using that selection interval. During the past fiscal year, the monitoring and supervision unit made an effort to increase the sample of charts to be monitored, to assure an appropriate implementation of procedures and also, correction of findings of non-compliance. For all regional programs, data were collected through onsite monitoring activities conducted by the SMU. Documented exceptional family circumstances that prevented a timely initial evaluation, assessment and initial IFSP meeting are included in the numerator and denominator for calculating actual target data.

Provide additional information about this indicator (optional)

Indicator 7: 45-day timeline

Required Actions from FFY 2013

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2013 response

None

Responses to actions required in FFY 2013 response, **not including correction of findings**

For the federal funding period 2013-2014, the program did not reach the required 100%. The non compliance occurred in 3 regional programs. According to documentation of the monitoring and supervision unit, a sample of 103 records was audited for the fiscal period. The evaluator found 13 records with a delay in the 45 days' time frame for eligibility determination and IFSP development, of those, 10 documented family related reasons for the delay (no shows, appointment post positions due to medical problems, hospitalizations, among others) and the other 3 were for reasons related to the program. The required procedures were performed with a 5 to 6 days of delay. The situation was included in their local determination letters and the programs were required to develop an improvement plan to assure future compliance. A Review of all Part C requirements was included in the schedules of the supervisor's monthly meetings and personnel of the monitoring and supervision unit developed an action plan and performed on site visits for those regions in non compliance to monitor their performance and provide technical assistance. To assure proper and timely identification of non compliance issues, the Monitoring and General Supervision Unit also increased the sample of records to be audited in the next fiscal year period.

Indicator 7: 45-day timeline
Correction of Previous Findings of Noncompliance

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C’s 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2013

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
3	3	0	0

FFY 2013 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

For FFY 2013, a total of 3 records did not comply with the indicator. Each region in non-compliance was required to develop an improvement/correction plan that included a reorganization of eligibility teams, as well as a more active intake procedure.

To assure future compliance with the indicator, the SMU clarified all EIP processes and scheduled on-site monitoring in the regions to verify correction and audit additional records.

Describe how the State verified that each individual case of noncompliance was corrected

After the state identified the non-compliances, each region in non-compliance is required to provide information regarding the correction of the finding and also to include strategies for verification of process and improvement. Each one of the cases received appropriate services as required by law.

Correction of Findings of Noncompliance Identified Prior to FFY 2013

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2013 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

Indicator 8: Early Childhood Transition

FFY 2014 Data: All Indicator 8 Sections

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2014 SPP/APR Data

Number of toddlers with disabilities exiting Part C	4,483
Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	2,360

Provide additional information about this indicator (optional)

Indicator 8A: Early Childhood Transition

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Target			100%	100%	100%	100%	100%	100%	100%	100%
Data		96.10%	91.60%	100%	93.20%	98.60%	100%	100%	100%	97.53%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%

Indicator 8A: Early Childhood Transition

FFY 2014 Data

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2014 SPP/APR Data

Source	Date	Description	Data	Overwrite Data
Indicator 8	1/14/2016	Number of toddlers with disabilities exiting Part C	4,483	217
Indicator 8	1/14/2016	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	2,360	217

Explanation of Alternate Data

A sample of 222 records were audited to verify compliance with this indicator. Of those 222 records, 5 were excluded because the referral came with less than 90 days before child's third birthday. 217 records were audited in detail.

Data include only those toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday.



Yes



No

Number of children exiting Part C who have an IFSP with transition steps and services	Number of toddlers with disabilities exiting Part C	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
198	217	97.53%	100%	93.09%	Did Not Meet Target	Slippage

* FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

Number of documented delays attributable to exceptional family circumstances (this number will be added to the Number of children exiting Part C who have an IFSP with transition steps and services)	4
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Explanation of Slippage

Of the total sample selected for in depth monitoring, 19 records were identified with non compliance. Four cases were explained by family special circumstances and another 4 were due to the program. The other 11 cases were children whose referrals came between 90 and 135 days before their third birthday. Those 11 non compliances occurred in areas with higher case loads per coordinator.

What is the source of the data provided for this indicator?



State monitoring



State database

Describe the method used to select EIS programs for monitoring.

Puerto Rico Part C team selected the records for the evaluation of Indicator 8A according to the date transition steps were given to the families recorded in the EI Data System. The inclusion criteria were that the children's third birthday was between July 1st, 2014 and June 30th, 2015 and transition steps given to the families within that period.

All (7) seven EI programs in Puerto Rico were included for data collection. Each one of the regional supervisors were required to hand in a list of records for the selection of a random sample. From that list, records were chosen systematically on an interval given by the ratio of total records in the sampling frame and the number of records of each program. The first record was chosen using a random number between 1 and the selection interval number, while the next record was identified using that selection interval. During the past fiscal year, the monitoring and supervision unit made an effort to increase the sample of charts to be monitored, to assure an appropriate implementation of procedures and also, correction of non compliance issues. For all regional programs, data were collected through onsite monitoring activities conducted by the SMU, explaining all the process and its importance to the regional supervisors. After the on-site data collection is made, the SMU performs the analysis and, if necessary, calls the regional supervisors for further information and clarifications. Documented exceptional family circumstances that prevented a timely transitions steps and transition conference are included in the numerator and denominator for calculating the data.

 **Provide additional information about this indicator (optional)**

Indicator 8A: Early Childhood Transition

Required Actions from FFY 2013

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2013 response

None

Responses to actions required in FFY 2013 response, **not including correction of findings**

For the federal funding period ending in June 2014, the program did not reach the required 100%. The noncompliance with the transition planning timeframe occurred in one region, where 2 participants did not received a transition planning process as establish in Part C regulations. During the following fiscal year, the Program made changes to assure compliance with the indicator, including hiring additional personnel for the region to have a more efficient case distribution among coordinators. Personnel additions will facilitate compliance with this and other indicators.

Indicator 8A: Early Childhood Transition
Correction of Previous Findings of Noncompliance

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2013

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
3	3	0	0

FFY 2013 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

For FFY 2013, a total of 8 records did not comply with the indicator. Five (5) of those were explained by special circumstances of the families, and 3 were non-compliances attributable to the program. Each region in non-compliance was required to develop an improvement/correction plan.

To assure future compliance with the indicator, the program monitoring unit staff clarified all the transition processes and scheduled on site monitoring in the regions to verify correction and audit additional records.

Describe how the State verified that each individual case of noncompliance was corrected

After the state identified the non-compliances, each region in non-compliance is required to provide information regarding the correction of the finding and also to include strategies for verification of process and improvement. Each one of the cases completed the transition process as required by law.

Correction of Findings of Noncompliance Identified Prior to FFY 2013

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2013 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

Indicator 8B: Early Childhood Transition

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Target			100%	100%	100%	100%	100%	100%	100%	100%
Data		91.40%	99.00%	100%	100%	100%	98.40%	100%	100%	87.65%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%

Indicator 8B: Early Childhood Transition

FFY 2014 Data

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2014 SPP/APR Data

Source	Date	Description	Data	Overwrite Data
Indicator 8	1/14/2016	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	2,360	217

Explanation of Alternate Data

A sample of 222 records were audited to verify compliance with this indicator. Of those 222 records, 5 were excluded because the referral came with less than 90 days before child's third birthday. 217 records were audited in detail.

Data include notification to both the SEA and LEA



Yes



No

Number of toddlers with disabilities exiting Part C where notification to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
196	217	87.65%	100%	90.32%	Did Not Meet Target	No Slippage

* FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

Number of parents who opted out (this number will be subtracted from the number of toddlers with disabilities exiting Part C who were potentially eligible for Part B when calculating the FFY 2014 Data)	0
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Describe the method used to collect these data

During May and June 2013 Part C received a TA from SERRC to establish referral transition procedures. As part of these procedures, will provide an electronically protected password Excel referral file to Part B by the 15th (or the next business day) of each month with the referral information of two years and seven months of age potentially eligible to Part B. As part of monitoring activities, the SMU verifies the date the notification/referral was sent to conclude if each record is in compliance with this indicator.

Do you have a written opt-out policy? Yes

What is the source of the data provided for this indicator?



State monitoring



State database

Describe the method used to select EIS programs for monitoring.

Puerto Rico Part C team selected the records for the evaluation of Indicator 8B according to the date notification for toddlers potentially eligible for Part recorded in the EI Data System. The inclusion criteria were that the children's third birthday was between July 1st, 2014 and June 30th, 2015 and notification for toddlers potentially eligible for Part B services had to be done within that period.

All (7) seven EI programs in Puerto Rico were included for data collection. Each one of the regional supervisors were required to hand in a list of records for the selection of a random sample. From that list, records were chosen systematically on an interval given by the ratio of total records in the sampling frame and the number of records of each program. The first record was chosen using a random number between 1 and the selection interval number, while the next record was identified using that selection interval. During the past fiscal year, the monitoring and supervision unit made an effort to increase the sample of charts to be monitored, to assure an appropriate implementation of procedures and also, correction of non compliance issues. For all regional programs, data were collected through onsite monitoring activities conducted by the SMU, explaining all the process and its importance to the regional supervisors. After the on-site data collection is made, the SMU performs the analysis and, if necessary, calls the regional supervisors for further information and clarifications.

**Provide additional information about this indicator (optional)**

Indicator 8B: Early Childhood Transition

Required Actions from FFY 2013

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2013 response

None

Responses to actions required in FFY 2013 response, **not including correction of findings**

For the federal funding period ending in June 2014, the program did not reach the required 100%. The noncompliance with the transition planning timeframe occurred in one region, where 2 participants did not receive a transition planning process as established in Part C regulations. During the following fiscal year, the Program made changes to assure compliance with the indicator, including hiring additional personnel for the region to have a more efficient case distribution among coordinators. Personnel additions will facilitate compliance with this and other indicators.

Indicator 8B: Early Childhood Transition
Correction of Previous Findings of Noncompliance

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2013

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
3	3	0	0

FFY 2013 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

For FFY 2013, a total of 8 records did not comply with the indicator. Five (5) of those were explained by special circumstances of the families, and 3 were non-compliances attributable to the program. Each region in non-compliance was required to develop an improvement/correction plan.

To assure future compliance with the indicator, the program monitoring unit staff clarified all the transition process and scheduled on-site monitoring in the regions to verify correction and audit additional records.

Describe how the State verified that each individual case of noncompliance was corrected

After the state identified the non-compliances, each region in non-compliance is required to provide information regarding the correction of the finding and also to include strategies for verification of process and improvement. Each one of the cases completed the transition process as required by law.

Correction of Findings of Noncompliance Identified Prior to FFY 2013

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2013 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

Indicator 8C: Early Childhood Transition

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Target			100%	100%	100%	100%	100%	100%	100%	100%
Data		64.20%	88.00%	99.60%	97.50%	100%	100%	100%	100%	97.53%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%

Indicator 8C: Early Childhood Transition

FFY 2014 Data

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2014 SPP/APR Data

Source	Date	Description	Data	Overwrite Data
Indicator 8	1/14/2016	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	2,360	217

Explanation of Alternate Data

A sample of 222 records were audited to verify compliance with this indicator. Of those 222 records, 5 were excluded because the referral came with less than 90 days before child's third birthday. 217 records were audited in detail.

Data reflect only those toddlers for whom the Lead Agency has conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services



Yes



No

Please explain

Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
198	217	97.53%	100%	93.09%	Did Not Meet Target	Slippage

* FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

Number of toddlers for whom the parent did not provide approval for the transition conference (this number will be subtracted from the number of toddlers with disabilities exiting Part C who were potentially eligible for Part B when calculating the FFY 2014 Data)	0
Number of documented delays attributable to exceptional family circumstances (this number will be added to the Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B)	4

Explanation of Slippage

Of the total sample selected for in depth monitoring, 19 records were identified with non compliance. Four cases were explained by family special circumstances and another 4 were due to the program. The other 11 cases were children whose referrals came between 90 and 135 days before their third birthday. Those 11 non compliances occurred in areas with higher case loads per coordinator.

What is the source of the data provided for this indicator?



State monitoring



State database that includes data for the entire reporting year

Describe the method used to select EIS programs for monitoring.

Puerto Rico Part C team selected the records for the evaluation of Indicator 8C according to the date of transition conference recorded in the EI Data System. The inclusion criteria were that the child's third birthday was between July 1st, 2014 and June 30th, 2015 and transition conference had to be conducted within that period.

All (7) seven EI programs in Puerto Rico were included for data collection. Each one of the regional supervisors were required to hand in a list of records for the selection of a random sample. From that list, records were chosen systematically on an interval given by the ratio of total records in the sampling frame and the number of records of each program. The first record was chosen using a random number between 1 and the selection interval number, while the next record was identified using that selection interval. During the past fiscal year, the monitoring and supervision unit made an effort to increase the sample of charts to be monitored, to assure an appropriate implementation of procedures and also, correction of non compliance issues. For all regional programs, data were collected through onsite monitoring activities conducted by the SMU, explaining all the process and its importance to the regional supervisors. After the on-site data collection is made, the SMU performs the analysis and, if necessary, calls the regional supervisors for further information and clarifications. Documented exceptional family circumstances that prevented a timely transitions steps and transition conference are included in the numerator and denominator for calculating the data.

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

Provide additional information about this indicator (optional)

Indicator 8C: Early Childhood Transition

Required Actions from FFY 2013

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2013 response

Responses to actions required in FFY 2013 response, **not including correction of findings**

Indicator 8C: Early Childhood Transition
Correction of Previous Findings of Noncompliance

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2013

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
3	3	0	0

FFY 2013 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

For FFY 2013, a total of 8 records did not comply with the indicator. Five (5) of those were explained by special circumstances of the families, and 3 were non-compliances attributable to the program. Each region in non-compliance was required to develop an improvement/correction plan.

To assure future compliance with the indicator, the program monitoring unit staff clarify all the transition process and schedule on site monitoring in the regions to verify correction and audit additional records.

Describe how the State verified that each individual case of noncompliance was corrected

After the state identify the non-compliances, each region in non-compliance is required to provide information regarding the correction of the finding and also to include strategies for verification of process and improvement. Each one of the cases completed the transition process as required by law.

Correction of Findings of Noncompliance Identified Prior to FFY 2013

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2013 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

Indicator 9: Resolution Sessions

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data:

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Target ≥										
Data										

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target ≥					

Key:  Blue – Data Update

Targets: Description of Stakeholder Input

Indicator 9: Resolution Sessions

FFY 2014 Data

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)


Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2014-15 EMAPS IDEA Part C Dispute Resolution Survey; Section C: Due Process Complaints	11/5/2015	3.1(a) Number resolution sessions resolved through settlement agreements	NA	null
SY 2014-15 EMAPS IDEA Part C Dispute Resolution Survey; Section C: Due Process Complaints	11/5/2015	3.1 Number of resolution sessions	NA	null

FFY 2014 SPP/APR Data

3.1(a) Number resolution sessions resolved through settlement agreements	3.1 Number of resolution sessions	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
NA	NA			NA	N/A	N/A

* FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

 Provide additional information about this indicator (optional)

Indicator 9: Resolution Sessions

Required Actions from FFY 2013

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2013 response

None

Indicator 10: Mediation

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Target ≥										
Data					40.00%					

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target ≥					

Key: Blue – Data Update

Targets: Description of Stakeholder Input

Indicator 10: Mediation

FFY 2014 Data

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2014-15 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/5/2015	2.1.a.i Mediations agreements related to due process complaints	0	null
SY 2014-15 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/5/2015	2.1.b.i Mediations agreements not related to due process complaints	0	null
SY 2014-15 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/5/2015	2.1 Mediations held	0	null

FFY 2014 SPP/APR Data

2.1.a.i Mediations agreements related to due process complaints	2.1.b.i Mediations agreements not related to due process complaints	2.1 Mediations held	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
0	0	0				N/A	N/A

* FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

 Provide additional information about this indicator (optional)

Indicator 10: Mediation

Required Actions from FFY 2013

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2013 response

Responses to actions required in FFY 2013 response

Indicator 11: State Systemic Improvement Plan

Plan Data and Overview

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Reported Data

Baseline Data: 2013

FFY	2013	2014
Target		34.68%
Data	34.68%	

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target	34.69%	34.70%	34.71%	34.72%

Key: Blue – Data Update

Targets: Description of Stakeholder Input

Overview

Indicator 11: State Systemic Improvement

Plan

Data Analysis

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Data Analysis

A description of how the State identified and analyzed key data, including data from SPP/APR indicators, 618 data collections, and other available data as applicable, to: (1) select the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families, and (2) identify root causes contributing to low performance. The description must include information about how the data were disaggregated by multiple variables (e.g., EIS program and/or EIS provider, geographic region, race/ethnicity, socioeconomic status, gender, etc.) As part of its data analysis, the State should also consider compliance data and whether those data present potential barriers to improvement. In addition, if the State identifies any concerns about the quality of the data, the description must include how the State will address these concerns. Finally, if additional data are needed, the description should include the methods and timelines to collect and analyze the additional data.

Indicator 11: State Systemic Improvement

Plan

Analysis of State Infrastructure

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Analysis of State Infrastructure to Support Improvement and Build Capacity

A description of how the State analyzed the capacity of its current infrastructure to support improvement and build capacity in EIS programs and/or EIS providers to implement, scale up, and sustain the use of evidence-based practices to improve results for infants and toddlers with disabilities and their families. State systems that make up its infrastructure include, at a minimum: governance, fiscal, quality standards, professional development, data, technical assistance, and accountability/monitoring. The description must include current strengths of the systems, the extent the systems are coordinated, and areas for improvement of functioning within and across the systems. The State must also identify current State-level improvement plans and other early learning initiatives, such as Race to the Top-Early Learning Challenge and the Home Visiting program and describe the extent that these new initiatives are aligned, and how they are, or could be, integrated with, the SSIP. Finally, the State should identify representatives (e.g., offices, agencies, positions, individuals, and other stakeholders) that were involved in developing Phase I of the SSIP and that will be involved in developing and implementing Phase II of the SSIP.

Indicator 11: State Systemic Improvement

Plan

Measurable Result for Infants and Toddlers with Disabilities

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and Their Families

A statement of the result(s) the State intends to achieve through the implementation of the SSIP. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be aligned to an SPP/APR indicator or a component of an SPP/APR indicator. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be clearly based on the Data and State Infrastructure Analyses and must be a child- or family-level outcome in contrast to a process outcome. The State may select a single result (e.g., increase the rate of growth in infants and toddlers demonstrating positive social-emotional skills) or a cluster of related results (e.g., increase the percentage reported under child outcome B under Indicator 3 of the SPP/APR (knowledge and skills) and increase the percentage trend reported for families under Indicator 4 (helping their child develop and learn)).

Statement

Description

Indicator 11: State Systemic Improvement Plan

Selection of Coherent Improvement Strategies

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Selection of Coherent Improvement Strategies

An explanation of how the improvement strategies were selected, and why they are sound, logical and aligned, and will lead to a measurable improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families. The improvement strategies should include the strategies, identified through the Data and State Infrastructure Analyses, that are needed to improve the State infrastructure and to support EIS program and/or EIS provider implementation of evidence-based practices to improve the State-identified result(s) for infants and toddlers with disabilities and their families. The State must describe how implementation of the improvement strategies will address identified root causes for low performance and ultimately build EIS program and/or EIS provider capacity to achieve the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

Indicator 11: State Systemic Improvement Plan

Theory of Action

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Theory of Action

A graphic illustration that shows the rationale of how implementing the coherent set of improvement strategies selected will increase the State's capacity to lead meaningful change in EIS programs and/or EIS providers, and achieve improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

Submitted Theory of Action: No Theory of Action Submitted

Provide a description of the provided graphic illustration (optional)

Certify and Submit your SPP/APR

I certify that I am the Director of the State's Lead Agency under Part C of the IDEA, or his or her designee, and that the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report is accurate.

Selected: Designated by the Lead Agency Director to certify

Name and title of the individual certifying the accuracy of the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report.

Name: Manuel I. Vargas-Bernal

Title: PR MCH Division Director

Email: mivargas@salud.pr.gov

Phone: 787-765-2929